



# Novel risk loci in LGI1-antibody encephalitis: genome-wide association study discovery and validation cohorts

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Encephalitis with antibodies to leucine-rich glioma-inactivated 1 (LGI1-Ab-E) is a common form of autoimmune encephalitis, presenting with seizures and neuropsychiatric changes, predominantly in older males. More than 90% of patients carry the human leukocyte antigen (HLA) class II allele, HLA-DRB1\*07:01. However, this is also present in 25% of healthy controls. Therefore, we hypothesized the presence of additional genetic predispositions.

In this genome-wide association study and meta-analysis, we studied a discovery cohort of 131 French LGI1-Ab-E and a validation cohort of 126 American, British and Irish LGI1-Ab-E patients, ancestry-matched to 2613 and 2538 European controls, respectively.

Outside the known major HLA signal, we found two single nucleotide polymorphisms at genome-wide significance ( $P < 5 \times 10^{-8}$ ), implicating *PTPRD*, a protein tyrosine phosphatase, and *LINC00670*, a non-protein coding RNA gene. Meta-analysis defined four additional non-HLA loci, including the protein coding *COBL* gene. Polygenic risk scores with and without HLA variants proposed a contribution of non-HLA loci. *In silico* network analyses suggested LGI1 and *PTPRD*-mediated interactions via the established receptors of LGI1, ADAM22 and ADAM23.

Our results identify new genetic loci in LGI1-Ab-E. These findings present opportunities for mechanistic studies and offer potential markers of susceptibility, prognostics and therapeutic responses.

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## Introduction

Encephalitis with antibodies to leucine-rich glioma-inactivated 1 (LGI1-Ab-E) is a common autoimmune encephalitis form, presenting with seizures, cognitive deficits and behavioural changes, typically affecting middle-aged and older males.<sup>1</sup> Its clinical presentation with very frequent seizures is mechanistically underpinned by LGI1's role in modulating K<sub>v</sub>1 channels, and LGI1-antibodies augment downstream neuronal excitability through impact on this pathway.<sup>2,3</sup> LGI1-Ab-E is overall rare, affecting around one per million in Europe.<sup>4</sup> The major established genetic risk factor is the human leukocyte antigen (HLA) class II allele HLA-DRB1\*07:01.<sup>5–7</sup> A far smaller role is played by HLA-DRB1\*04:02, found in ~2% ancestry-matched controls.<sup>8</sup> Since HLA-DRB1\*07:01 is carried by ~90% of LGI1-Ab-E but only ~25% of non-affected European-ancestry controls, and rare familial LGI1-Ab-E cases have been reported,<sup>9</sup> we hypothesized additional genetic determinants.<sup>7</sup>

To date, as well as an expected strong HLA peak, a European-ancestry genome-wide association study (GWAS) of 54 LGI1-Ab-E patients found two suggestive ( $P < 1 \times 10^{-5}$ ) non-HLA signals.<sup>10</sup> These were rs72961463, close to *DCLK2*, linked to seizures and neuronal migration; and rs62110161, in a little-delineated region of zinc finger genes.<sup>10</sup> However, this study did not present a validation cohort, a recommended GWAS step.<sup>11</sup>

Here, we focused on extra-HLA genetic associations in the largest-to-date LGI1-Ab-E GWAS, totalling 257 patients, studied as

independent discovery and validation cohorts. Beyond the HLA, we identified two replicable signals at genome-wide significance and an additional four by meta-analysis. Our most robust signal implicates the *PTPRD* gene, encoding a hippocampally-expressed protein tyrosine phosphatase, which shares in silico networks with LGI1. Furthermore, polygenic risk score (PRS) analyses were compatible with a non-HLA contribution to LGI1-Ab-E genetic risk. Our GWAS is the first that stringently identifies non-HLA genes in LGI1-Ab-E. These findings support future larger GWAS in this and related forms of autoimmune encephalitis to inform disease susceptibility, prognostic factors and therapeutic targets.

## Materials and methods

### Cases, controls and ethical permissions

Patients with serum LGI1-autoantibodies were recruited via tertiary autoimmune neurology centres in Lyon (France; starting cohort  $n = 148$ ), Oxford, UK ( $n = 109$ ), Dublin (Ireland;  $n = 2$ ) and Baltimore/Mayo/San Francisco (USA;  $n = 28$ ). This study was ethically approved in France (Hospices Civils de Lyon; GENDARME, NCT05225883), Ireland (Royal College of Surgeons in Ireland Research Ethics Committee, REC1631) and the UK (Yorkshire & The Humber—Leeds East Research Ethics Committee: 16/YH/0013). USA and Irish patients were consented locally and thereafter

recruited to Oxford (total starting cohort  $n = 139$ ; hereafter termed 'UK recruits'). All patients gave written informed consent.

In total, there were 5151 controls from the UK Biobank (UKBB). UKBB has obtained ethics approval from the North West Multi-centre Research Ethics Committee (approval number: 11/NW/0382) and informed consent from all participants.

## Genome-wide association analysis procedures

### Array and human leukocyte antigen genotyping

DNA was genotyped on the Axiom Precision Medicine Research Array (French cases), Illumina Global Screening Array v1 or v2 (UK recruits) and a custom Affymetrix chip (UKBB controls).<sup>12</sup> HLA-DRB allele typing or imputation was available for all cases,<sup>7,8</sup> and two-digit imputation for controls.<sup>12</sup> The analysis used Genome Reference Consortium Human Build 37 (hg19) coordinates.

### Bioinformatics

References for bioinformatics tools and methods used to calculate tissue expression from GTEx data are provided in the [Supplementary material](#), 'Supplementary Methods' sections 1 and 2.

### Quality control procedures

Initial quality control for each cohort was performed in PLINK (v1.9 and v2.0), KING (v2.0.9), flashPCA (v2) and PCAmatchR (v0.3.2). Where chromosome 23 data were available, participants with ambiguous or discrepant sex information were removed, as were individuals or markers with missing data  $>0.05$  and monomorphic single nucleotide polymorphisms (SNPs). The Hardy-Weinberg Equilibrium threshold was  $<0.00001$ . For the removal of related individuals, identity-by-descent (IBD) in PLINK and/or KING used a cut-off of 0.185 (PLINK, values between a second- and third-degree relative) or relationship degree 2 (KING).

The quality controlled datasets were passed through a pre-imputation pipeline available at: <https://www.strand.org.uk/tools/index.html>. Selecting overlapping SNPs only, case/control datasets were merged for principal components analysis [PCA, in PLINK or flashPCA and plotted in R (v4.0.3)]. To address population stratification and retain  $\lambda < 1.05$ , ancestry matching was undertaken with PCAmatchR (v0.3.2). First, French cases were matched 1:20 case:controls from a pool of quality controlled UKBB White European controls (Data-Field 22006), excluding International Statistical Classification of Diseases and Related Health Problems (ICD) codes G04 or G05 (encephalitis). Subsequently, UK-recruited cases were ancestry- and sex-matched 1:20 from remaining UKBB samples, with the same protocol described for French cases.

### Imputation

Case imputation was performed on the Michigan Imputation Server with the following parameters: rsq filter off, Eagle v2.4 (phased output), reference panel haplotype reference consortium (HRC v1.1). For controls, imputed data were extracted from UKBB. Imputed data were merged as follows: all SNPs with  $R^2$  or info score  $>0.9$  were identified, and an intersect list of SNPs-in-common was extracted from each base dataset. Quality control was performed in PLINK as previously outlined, with additional steps of removal of triallelic SNPs and SNPs differentially missing between cases and controls at  $P < 0.01$ .

### Association analyses

Discovery and replication association analyses were performed using the PLINK (v1.9) allelic model with a final minor allele frequency (MAF) of 0.01. The independence of signals was assessed with GCTA-COJO (genome-wide complex trait analysis-conditional and joint association analysis) (v1.26.0) using summary statistics prepared in SNPTEST (v2.5.4). A meta-analysis was carried out using GWAMA (v2.1). Clinical analyses were performed in R (v4.0.3).

Suggestive significance was set at  $P < 1 \times 10^{-5}$  and genome-wide significance at  $P < 5 \times 10^{-8}$ .

### Visualization, annotation and in silico tools

Manhattan plots were created in ggmanh (v1.7.0) with local genetic architecture visualized with LocusZoom.org. Additional online annotation was achieved with Combined Annotation Dependent Depletion (CADD), GWAS Catalog, GTEx Portal, OMIM, STRING (v12.0), Genemania and WebGestalt (2019).

### Polygenic risk score

The PRS was calculated using PRSice (v2.3.3)<sup>13</sup> using a binary phenotype and, due to their slightly larger sample size, the 131 French patients as the target cohort (full parameters are provided in the [Supplementary material](#), 'Supplementary Methods' section 4). Analyses were done using the complete dataset, excluding the HLA (chr6:25607979–33607978), restricted to only HLA, excluding chromosome 6 and increasing the MAF to 0.05.

### Sanger sequencing

Eighty-seven samples from within the UK-recruited validation cohort were Sanger sequenced for the lead PTPRD SNP using primers in the [Supplementary material](#), 'Supplementary Methods' section 3.

## Results

### Discovery and validation cohorts

We started with 148 French (henceforth, 'discovery cohort') and 139 UK-recruited cases (henceforth, 'validation cohort'). After quality control, our final cohorts numbered 131 and 126, respectively. They did not significantly differ by demographics or clinical features ([Table 1](#)) and displayed a classical LGI1-Ab-E phenotype: median age 64–66 years, 30%–31% female, and 95% presenting with encephalitis or seizures. In accordance with established findings,<sup>5–7</sup> there was an elevated and comparable frequency of HLA-DRB1\*07:01 in both cohorts (114/131, 87% and 118/126, 94%, respectively).

The final discovery association analysis included 5,462,363 variants across 131 French LGI1-Ab-E (92 male, 39 female), ancestry-matched to 2613 White European controls (957 male, 1656 female; [Supplementary Fig. 1](#)). Inflation appeared controlled with a  $\lambda$  of 1.035 [[Fig. 1A\(i\)](#)] and QQ plots in [Supplementary Fig. 2](#). As expected, there was a strong HLA region signal. The lead HLA SNP, rs2858869, was in tight linkage disequilibrium (LD) ( $R^2$  0.997285) with rs28383172, a DRB1\*07:01 tag SNP proposed as a genomic marker of HLA-mediated asparaginase hypersensitivity.<sup>14</sup> Outside the HLA, 10 independent SNPs attained genome-wide significance [[Fig. 1A\(i\)](#), [Table 2](#) and [Supplementary Table 1A](#)]. An additional 90 independent non-HLA and 5 HLA SNPs reached at least suggestive significance ([Supplementary Table 1A](#)).

Next, we sought to confirm our findings in a validation cohort of 126 UK-recruited cases (87 male, 39 female), ancestry- and

Table 1 Demographic features of discovery and validation cohorts

|                                     | Discovery cohort | Validation cohort           | P adjusted <sup>a</sup> |
|-------------------------------------|------------------|-----------------------------|-------------------------|
| <b>Baseline demographics</b>        |                  |                             |                         |
| Number of patients                  | 131              | 126                         | na                      |
| Median onset age (mean, range)      | 66 (67, 35–86)   | 64 (64, 39–90) <sup>b</sup> | 0.16534                 |
| Female (n, %)                       | 39 (30%)         | 39 (31%)                    | 1                       |
| Country of origin (n, %)            |                  |                             |                         |
| France                              | 131 (100%)       | 0                           | –                       |
| Republic of Ireland                 | 0                | 2 (2%)                      | –                       |
| UK                                  | 0                | 102 (81%)                   | –                       |
| USA                                 | 0                | 22 (17%)                    | –                       |
| <b>Clinical features</b>            |                  |                             |                         |
| CNS                                 | 126 (96%)        | 121 (96%)                   | 1                       |
| Limbic encephalitis/epilepsy        | 125 (95%)        | 120 (95%)                   | –                       |
| Morvan’s syndrome                   | 0                | 1                           | –                       |
| Other (Miller Fisher-like syndrome) | 1                | 0                           | –                       |
| PNS                                 | 3 (2%)           | 5 (4%)                      | –                       |
| Neuromyotonia                       | 2                | 3                           | –                       |
| Pain                                | 1                | 2                           | –                       |
| No details                          | 2 (1.5%)         | 0                           | –                       |
| Peak mRS median (mean, range)       | 3 (3.2, 1–6)     | 3 (3.1, 1–5)                | 1                       |
| <b>Genetic features</b>             |                  |                             |                         |
| HLA DRB1*07:01                      | 114 (87%)        | 118 (94%)                   | 0.68220                 |
| Proportion heterozygous             | 100 (76%)        | 101 (80%)                   | 0.78250                 |
| Proportion homozygous               | 14 (11%)         | 17 (14%)                    | 1                       |

HLA = human leukocyte antigen; mRS = modified Rankin scale; na = not applicable; ns = non-significant.

<sup>a</sup>Holm corrected.

<sup>b</sup>Data on 123 patients available.

sex-matched to 2538 White European controls (1739 male, 799 female; [Supplementary Fig. 3](#)). The validation cohort included 5 385 978 variants with a lambda of 1.015 [[Fig. 1A\(ii\)](#)] and QQ plots in [Supplementary Fig. 4](#). This analysis recapitulated the strong HLA signal in discovery: the lead HLA SNP, rs2858870, was in moderate LD (0.500874) with DRB1\*07:01 tag SNP rs2647087<sup>15</sup> and was the lead HLA signal in the previously published GWAS.<sup>10</sup> Nine non-HLA SNPs achieved genome-wide significance ([Fig. 1B](#), [Table 2](#) and [Supplementary Table 1B](#)). An additional 89 independent non-HLA SNPs attained suggestive significance ([Supplementary Table 1B](#)).

Two of the non-HLA SNPs attained genome-wide significance with the same direction in both cohorts ([Fig. 1A and B](#) and [Table 2](#)). The first, rs445608, is in intron 3 of PTPRD, a protein tyrosine phosphatase with dual immune and synaptic actions.<sup>16–18</sup> The second, rs61394075, lies within intron 3 of the non-protein coding RNA gene LINC00670, upstream of the smooth muscle gene MYOCD. Local LocusZoom plots using GWAS-specific LD data revealed a supportive pattern for rs445608 [[Fig. 1B\(i\)](#)] but less so for rs61394075 [[Fig. 1B\(ii\)](#)]. In addition, the immune locus, TRAF3IP2/FYN, approached replication with different but neighbouring SNPs reaching suggestive significance in each cohort (discovery rs117598088 and validation rs112963264) ([Supplementary Table 1](#) and [Supplementary Fig. 5](#)).

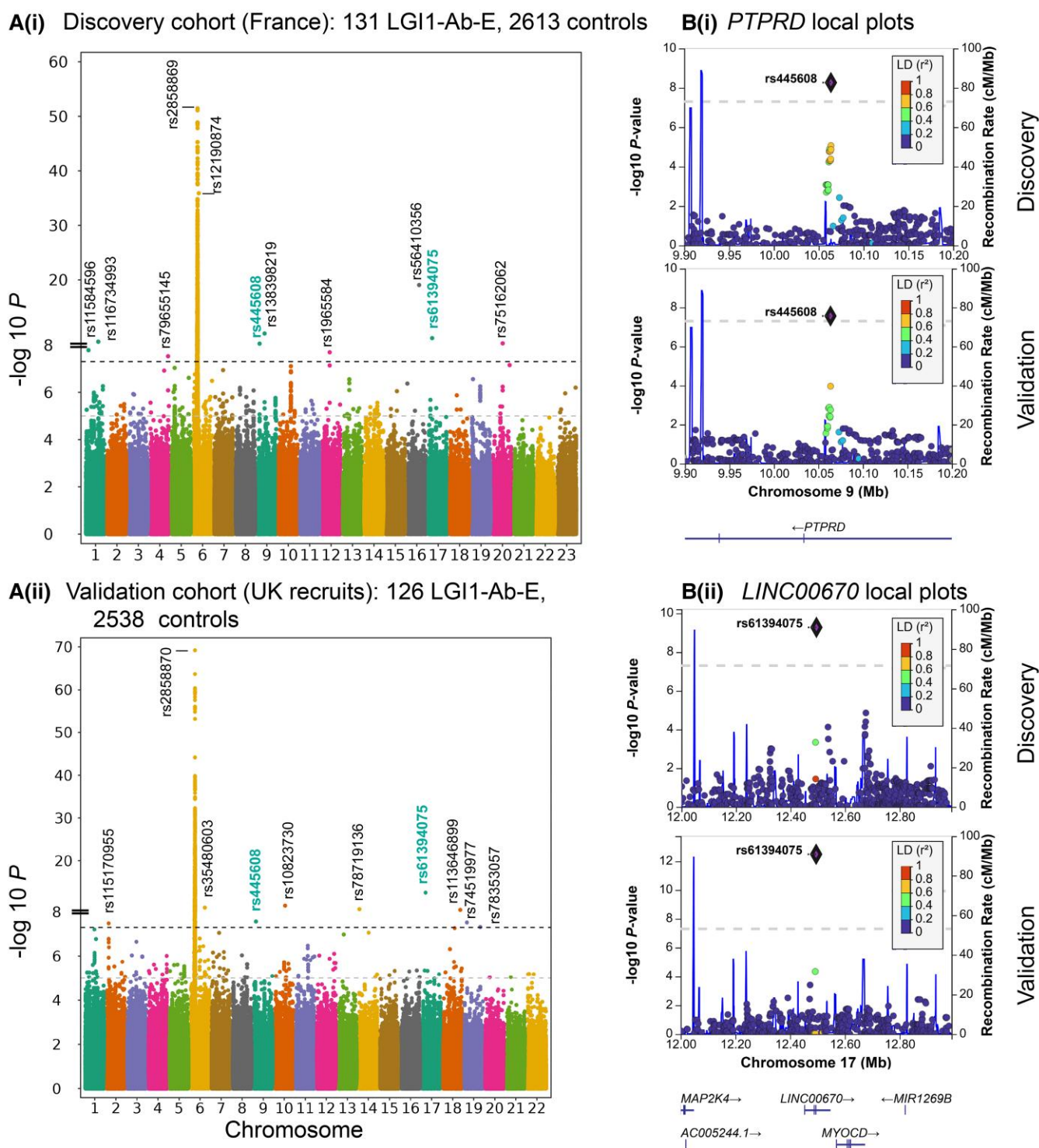
Meta-analysis

Next, we performed a meta-analysis with the software package GWAMA. We only considered variants fulfilling all of: (i) at least nominal significance in one cohort plus suggestive significance in the other; (ii) genome-wide significance in the meta-analysis; (iii) the same directionality of effect in the meta-analysis; and (iv) independent by conditional and joint association analysis program (GCTA-COJO). This stringent analysis identified an additional four hits ([Table 2](#)). These included: rs61739178 on chromosome 7, a missense variant in COBL with a reported role in neuron

morphogenesis and axon/dendrite branching; and rs937529 on chromosome 12, upstream of panic disorder and spinocerebellar ataxia 23 gene TMEM132D. Both have potential biological relevance and showed good LD in local plotting profiles ([Supplementary Fig. 6A–D](#)). Of all the meta-analysis SNPs, rs61739178 also had the highest deleteriousness rating (CADD score), indicating a top 10% likelihood of pathogenicity ([Table 2](#)). The other meta-analysis hits were rs1229542 on chromosome 7 and rs78719136, in a cluster of RNASEs on chromosome 14. Forest plots for all replicated and meta-analysis SNPs are shown in [Supplementary Fig. 7A–F](#).

Polygenic risk score

Together, these analyses suggested extra-HLA involvement in LGI1-Ab-E. To ask whether combined genetic contributions from all SNPs offer further explanatory power over individually identified SNPs, we employed PRS. In PRS, a genetic risk profile is created using a base GWAS cohort (UK patients), incorporating the effect size of SNPs on a trait in a LD-pruned dataset. The result then bioinformatically predicts the genetic component in a second GWAS (French patients) for the same or a related condition at a user-defined range of P-values.<sup>13</sup> A PRS with all SNPs revealed a significant model at all levels of GWAS significance, with the best-fit model having an R2 (proportion of PRS-assignable phenotypic variance) of 0.18 and a P-value of  $1.83 \times 10^{-35}$  [[Fig. 2A\(i\)](#)]. In this model, the fifth quantile was significantly enriched for LGI1-Ab-E participants compared to quantiles 1–4 ([Supplementary Table 2](#)) and conferred a 10.4 odds ratio of disease for cases versus controls [95% confidence interval (CI) 5.4–20.2; [Fig. 2A\(ii\)](#) and [Supplementary Fig. 8](#)]. Reassuringly, with the HLA region (chr6:25607979–33607978) removed, the PRS remained significant at all levels [[Fig. 2A\(iii\)](#)]. The best-fit HLA-depleted model was at a GWAS significance level of 1, with a P-value of  $4.6 \times 10^{-19}$  and R2 of 0.1, and conferred a LGI1-Ab-E phenotype odds ratio of 6.3 (95% CI 3.3–12.1) [[Fig. 2A\(iv\)](#) and [Supplementary Fig. 9](#)]. Moreover,



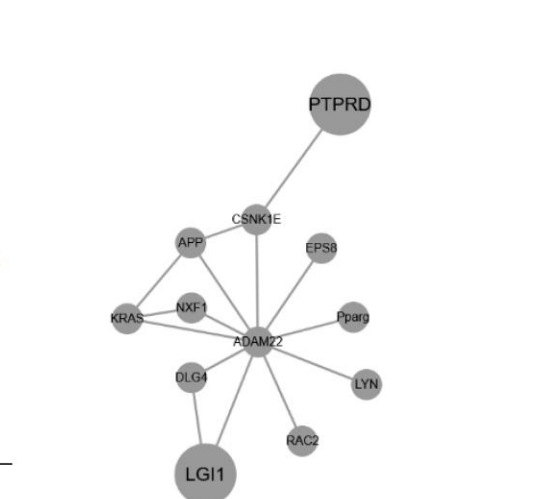
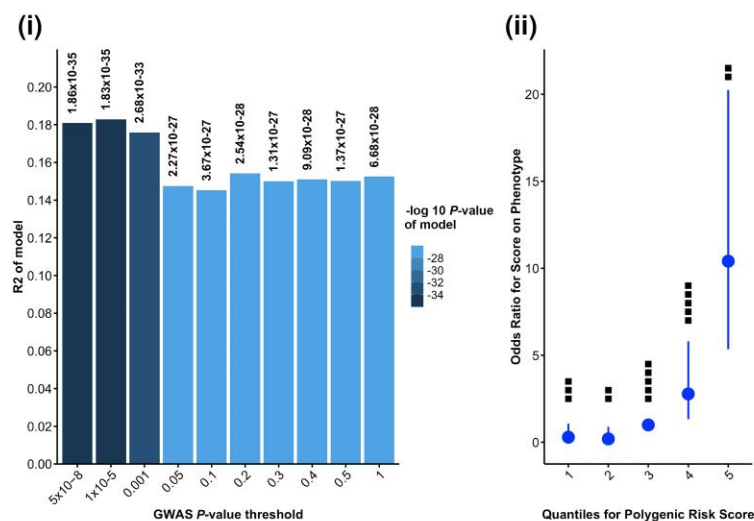
**Figure 1** Manhattan plots and LocusZoom local plots from the main discovery and replication association analyses. **[A(i)]** Manhattan plot of the discovery analysis including 131 French LGI1-Ab-E patients and 2613 matched UK Biobank controls. The analysis included 5 462 363 variants and had a lambda of 1.035. Ten single nucleotide polymorphisms (SNPs) achieving genome-wide significance outside the HLA region and the lead HLA SNP are labelled, with those achieving replication designated in teal labelling. **[A(ii)]** Manhattan plot of the validation analysis including 126 White British, Irish and North American patients and 2538 matched UK Biobank controls. The analysis included 5 385 978 variants and had a lambda of 1.015. Nine SNPs achieving genome-wide significance outside the HLA region and the lead HLA SNP are labelled, with those achieving replication designated in teal labelling. Both plots created using ggmanh. The two black bars on the y-axes delineate a scale change to accommodate the very low P-values associated with the MHC region. The heavy grey dotted line is set at genome-wide significance. HLA = human leukocyte antigen; *LINC00670* = long intergenic non-protein coding RNA 670; LGI1-Ab-E = leucine-rich glioma-inactivated 1 antibody encephalitis; *PTPRD* = protein tyrosine phosphatase receptor type D.

Table 2 Non-human leukocyte antigen genome-wide significant single nucleotide polymorphisms independent by COJO analysis

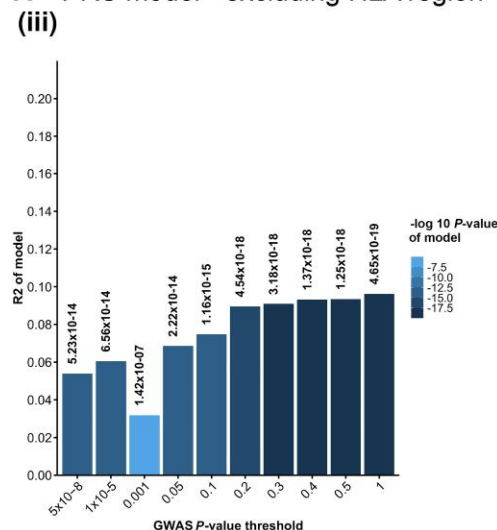
| SNP <sup>a</sup>                                                                                                                                        | rsID       | pval discovery,<br>% effect allele<br>in cases/in<br>controls/OR      | pval validation,<br>% effect allele in<br>cases/in<br>controls/OR     | pval/direction/OR<br>meta-analysis                       | Function                                                                                         | CADD <sup>b</sup> score/annotation                                                                                   | SNP eQTL <sup>c</sup>                                                | Brain<br>specificity/<br>absolute TPM <sup>d</sup> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-----------------------------------------------------------------------|-----------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------|
| <b>Genome-wide significant SNPs from discovery analysis, with validation and meta-analysis results</b>                                                  |            |                                                                       |                                                                       |                                                          |                                                                                                  |                                                                                                                      |                                                                      |                                                    |
| 9_10063634_AG<br>Imputed INFO 0.94/<br>Genotyped                                                                                                        | rs445608   | 5.415 × 10 <sup>-9</sup><br>0.061/0.014 OR:<br>4.528 (2.60–<br>7.89)  | 2.73 × 10 <sup>-8</sup><br>0.052/0.011<br>OR: 4.876 (2.63–<br>9.04)   | 2.43 × 10 <sup>-13</sup> /++<br>4.68 (3.10–7.07)         | PTPRD intron variant (between<br>exons 3 and 4)                                                  | 1.314<br>Intronic                                                                                                    | None                                                                 | PTPRD:<br>8.02/203.08                              |
| 17_12495124_AG<br>Imputed INFO 0.94/<br>Imputed INFO 0.96                                                                                               | rs61394075 | 5.277 × 10 <sup>-10</sup><br>0.073/0.017<br>OR: 4.412 (2.65–<br>7.35) | 3.192 × 10 <sup>-13</sup><br>0.091/0.020<br>OR: 4.898 (3.06–<br>7.85) | 3.03 × 10 <sup>-18</sup> /++<br>OR: 4.67 (3.30–<br>6.60) | LINC00670 intron variant,<br>LOC105371540 non-coding<br>transcript variant; upstream<br>of MYOCD | 1.231<br>Regulatory/intronic                                                                                         | None                                                                 | LINC00670:<br>0.0/6.44<br>MYOCD:<br>0.02/179.39    |
| <b>Genome-wide significant SNPs in the meta-analysis, attaining at least suggestive and/or nominal significance in discovery and validation cohorts</b> |            |                                                                       |                                                                       |                                                          |                                                                                                  |                                                                                                                      |                                                                      |                                                    |
| 7_51097255_AG<br>Imputed INFO 0.93/<br>Genotyped                                                                                                        | rs61739178 | 0.00136 <sup>^</sup><br>0.042/0.016<br>OR: 2.737 (1.44–<br>5.20)      | 8.943 × 10 <sup>-8</sup><br>0.044/0.009<br>OR: 5.199 (2.65–<br>10.19) | 3.13 × 10 <sup>-8</sup> /++<br>OR: 3.71 (2.33–<br>5.91)  | COBL (role in skeletal muscle<br>and actin neuron<br>morphogenesis axon/<br>dendrite branching)  | 16.85<br>Missense mutation (coding<br>transcripts -p.Ser513Phe)<br>and regulatory feature<br>(non-coding transcript) | None                                                                 | COBL:<br>0.26/220.62                               |
| 7_97422926_GT<br>Imputed INFO 0.97/<br>Genotyped                                                                                                        | rs1229542  | 2.354 × 10 <sup>-6</sup><br>0.13/0.06<br>OR: 2.396 (1.65–<br>3.48)    | 6.571 × 10 <sup>-7</sup><br>0.13/0.06<br>OR: 2.571 (1.75–<br>3.78)    | 3.36 × 10 <sup>-11</sup> /++<br>OR: 2.48 (1.90–<br>3.24) | Intergenic—TAC1, ASNS                                                                            | 1.108<br>intergenic                                                                                                  | AC004967.7<br>(cultured<br>fibroblasts,<br>skeletal muscle,<br>skin) | TAC1:<br>16.10/400.39<br>ASNS:<br>1.91/779.31      |
| 12_130606276_AG<br>Genotyped/<br>Genotyped                                                                                                              | rs937529   | 3.307 × 10 <sup>-6</sup><br>0.12/0.05<br>OR: 2.449 (1.66–<br>3.62)    | 0.000718 <sup>^</sup><br>0.12/0.07<br>OR: 1.944 (1.31–<br>2.88)       | 3.06 × 10 <sup>-8</sup> /++<br>OR: 2.18 (1.66–<br>2.88)  | Intergenic—TMEM132D<br>(SCA23, panic disorder),<br>FZD10                                         | 3.466<br>intergenic/regulatory                                                                                       | None                                                                 | TMEM132D:<br>30.97/18.69<br>FZD10:<br>0.33/42.41   |
| 14_21355951_AG<br>Imputed INFO 0.94/<br>Imputed INFO 0.93                                                                                               | rs78719136 | 0.01668 <sup>^</sup><br>0.038/0.018<br>OR: 2.207 (1.14–<br>4.29)      | 2.317 × 10 <sup>-9</sup><br>0.056/0.011<br>OR: 5.161 (2.84–<br>9.39)  | 2.86 × 10 <sup>-8</sup> /++<br>OR: 3.53 (2.26–<br>5.51)  | LOC100507513, intron variant;<br>in cluster of RNASEs                                            | 0.940<br>intergenic, upstream RNASE3                                                                                 | None                                                                 | RNASE3:<br>0.20/27.72                              |

CADD = Combined Annotation Dependent Depletion; COJO = conditional and joint association analysis; eQTL = expression quantitative trait loci; HLA = human leukocyte antigen; OR = odds ratio; SCA23 = spinocerebellar ataxia 23; SNP = single nucleotide polymorphism; TPM = transcripts per million.  
<sup>a</sup>Imputed/genotyped in cases in discovery/replication cohorts. INFO score is given for imputed SNPs, to two decimal places. Scores from Michigan Imputation Server (cases) or UK Biobank imputation scores (controls).  
<sup>b</sup>In CADD, a variant in the top 10% of pathogenic variants has a scaled score of 10, in the top 1% has a scaled score of 20.  
<sup>c</sup>SNP eQTLs interrogated via GTEx Portal. Accessed 31 December 2023. <https://gtexportal.org/home>.  
<sup>d</sup>Derived from GTEx V6p. For methods see [Supplementary material](#), 'Supplementary Methods' section.  
<sup>e</sup>Suggestive significance.  
<sup>^</sup>Genome-wide significance.  
<sup>^</sup>Nominal significance.  
<sup>+</sup>Positive direction.

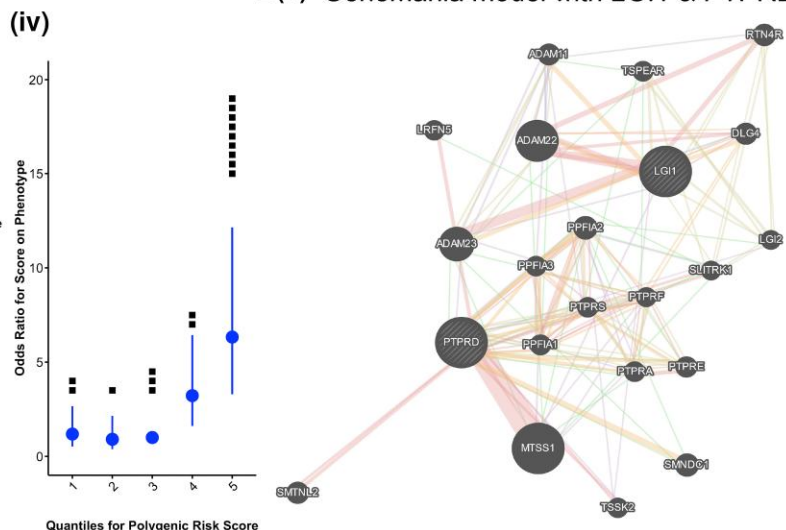
**B(i) Webgestalt model with LGI1 & PTPRD**



**A** PRS model - excluding HLA region



### B(ii) Genemania model with LGI1 & PTPRD



**Figure 2 Polygenic risk scores calculated using PRSice and in silico network analyses. [A(i-iv)]** Scores calculated passing in all single nucleotide polymorphisms (SNPs) in chromosomes 1–22. **[A(i)]** Polygenic risk scores (PRSs) at different levels of genome-wide association study (GWAS) significance (x-axis) and the proportion of the phenotype accounted for by the model (y-axis). The significance of each model is shown as the P-value at the top of each bar. **[A(ii)]** Odds ratio of developing the phenotype at each of five quantiles of the PRS. Black dots show numbers of DRB1\*07:01-negative patients in each risk quantile. **A(iii and iv)** show the same plots for the PRS calculated excluding the HLA region from the SNPs passed in excluding the HLA region on chromosome 6 (chr6:25607979–33607978). **[B(i)]** In silico network analysis created with Webgestalt passing in *LG11* and *PTPRD* as seed genes. **[B(ii)]** In silico network analysis created with Genemania passing in *LG11* and *PTPRD* as seed genes. Pink lines designate physical interactions; lilac, co-expression; light orange, predicted interactions; medium blue, co-localization; green, genetic interactions; and light blue, shared pathways. An intronic variant of *PTPRS* achieved suggestive significance in the discovery cohort. HLA = human leukocyte antigen; *LG11* = leucine-rich glioma-inactivated 1; *PTPRS* = receptor-type tyrosine-protein phosphatase S; *PTPRD* = protein tyrosine phosphatase receptor type D.

more DRB1\*07:01 non-carriers were in the top risk quantile in the HLA-depleted versus the HLA-inclusive model [Fig. 2A(ii and iv)]. These results are compatible with a genetic risk profile with significant HLA and extra-HLA contributions. Further modelling was in alignment with these findings (Supplementary Figs 10–12). Full statistics for all models are in Supplementary Tables 3 and 4.

### In vitro and in silico confirmation

To confirm our lead signal, rs445608 within *PTPRD* on chromosome 9, found in 13/126 (MAF 0.052) validation patients on the array, we

Sanger resequenced 87 individuals with available DNA. This confirmed the effect allele in 8 of 87, conferring a MAF of 0.046 in this sub-group. A further 5/39 carriers lacked DNA for re-sequencing (sub-group MAF, 0.064).

Finally, to create testable molecular hypotheses, we interrogated links between *PTPRD* and *LGI1* using established *in silico* tools: gene set enrichment analysis via WebGestalt and Genemania and protein-protein interactions via STRING. Using *LGI1* and *PTPRD* as seed genes, all methods generated networks linking *PTPRD* and *LGI1* [Fig. 2B(i and ii) and Supplementary Fig. 13]. WebGestalt derived a network including an established key receptor for secreted

synaptic LGI1, ADAM22. Significant gene ontology pathways by this method focused around glial- and neuro-genesis and synaptic plasticity (Supplementary Table 5), known LGI1 biological functions.<sup>19</sup> Genemania and STRING showed PTPRD at the heart of a network of PPFIA genes, a family of LAR protein-tyrosine phosphatase-interacting proteins (liprins), also including another key LGI1-receptor, ADAM23.<sup>20</sup> Other relevant entities depicted included LGI1-interactors DLG4 and CASPR2.

## Discussion

Using a robust approach, with discovery and validation cohorts, we are the first to show replicated extra-HLA hits in LGI1-Ab-E at genome-wide significance. Our most promising hit, rs445608 in PTPRD, was supported by favourable LD, is prominent in genetic studies of neurological and neuropsychiatric disease,<sup>18–21</sup> fear behaviour<sup>22</sup> and in silico analyses delineated close functional links with LGI1. We also showed replication of the imputed SNP rs61394075 in LINC00670, a non-coding RNA entity upstream of the smooth muscle gene MYOCD. rs61394075 local LD was less supportive; we present it here as this locus was recently implicated in a GWAS of GAD-antibody autoimmunity,<sup>23</sup> and thus could merit exploration in future cohorts. Our meta-analysis identified four other loci, two with strong biological plausibility. We found no hits involving LGI1 itself. In addition to individual variants, PRS supports broader non-HLA genetic contributions. Significant models were observed at all levels of GWAS significance, with and without the HLA. The odds ratios are comparable to schizophrenia, well accepted to have a polygenic component,<sup>24</sup> including in models using the same tool,<sup>24</sup> and may exceed those for diseases such as coronary artery disease, atrial fibrillation, breast cancer, inflammatory bowel disease, and type 2 diabetes.<sup>25</sup> Taken together, our findings suggest a complex and substantial genetic architecture in this late-onset illness. Future larger studies should extend these observations, and determine possible initiating roles of environmental factors.

Another subject for further study should be whether PTPRD's role in LGI1-Ab-E predominates through neurological or immune mechanisms. PTPRD, like LGI1, is a tumour suppressor gene down-regulated in glioma<sup>26</sup> and shapes synapses.<sup>16</sup> As well as GWAS evidence in restless legs syndrome, epilepsy and schizophrenia,<sup>18,20,21</sup> it has an immune expression profile, with detection in murine B cell lineages,<sup>16</sup> and somatic variants as drivers in human marginal zone lymphoma.<sup>17</sup> Potentially consistent with this dual role, PTPRD's effect in glioma has been linked to interactions with the 'master transcription factor/cytokine' STAT3.<sup>26</sup> While there is no known direct interaction between PTPRD and LGI1, the established role of PTPRD in synapses could reshape these structures,<sup>16</sup> and either predispose to LGI1 antibody-binding or promote downstream epileptogenesis. Alternatively, indirect interactions via STAT3<sup>26</sup> and the association of PTPRD with brain volume in neuroinflammation<sup>27</sup> could suggest an immunomodulatory function.

We also delineated potential links with adaptive immune pathways through locus, but not SNP-specific, replication at TRAF3IP2/FYN. This has biological plausibility since TRAF3IP2 codes for Act1, an adaptor protein with roles in CD40, BAFF and IL-17 signalling, entities with relevance to B cell activation and Th17 pathways, including in our published experimental auto-encoder paradigms.<sup>28,29</sup>

## Limitations

These include the cohort size, nevertheless substantial given LGI1-Ab-E rarity,<sup>4</sup> population stratification precluding discovery

cohort sex-matching and a lack of in vitro studies. Despite high PRS odds ratios, the absolute individual risk at the population level, even in the top quintile, would be low. Also, reflecting disease rarity, it is possible our PRS models are over-fitted; further datasets would be required to train the model further. Most variants identified showed low allele frequency in controls (1%–5%), meaning small deviations or imputation inaccuracies could influence results.<sup>30</sup>

## Conclusion

In summary, we have identified novel extra-HLA risk loci and an applicable PRS in LGI1-Ab-E. Our 257 patients were well-phenotyped, and our results suggest our approach could be implemented in other autoimmune encephalitides. The function of disclosed variants should now be investigated in vitro and in vivo.

## Data availability

Qualified investigators with suitable ethics may apply to request the summary statistics via the European Genome-phenome Archive (EGA).

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## Competing interests

K.S.E. is now an employee of Arcturus Data. A.R.H. is a current employee and/or stockholder of AstraZeneca. A.E.H. has received funding from UCB-Pharma. N. M. has received honoraria for lecturing and travel expenses for attending meetings from Biogen Idec, GlaxoSmith Kline, Teva, Novartis Pharma, Bayer Healthcare, Genzyme, Alexion Pharmaceuticals, Fresenius Medical Care, Diamed, UCB Pharma, AngeliniPharma, BIAL and Sanofi-Aventis, has received royalties for consulting from UCB Pharma, Alexion Pharmaceuticals and Sanofi-Aventis and has received financial research support from Euroimmun, Fresenius Medical Care, Diamed, Alexion Pharmaceuticals and Novartis Pharma. F.L. discloses speaker honoraria from Grifols, Teva, Biogen, Bayer, Roche, Novartis, Fresenius, travel funding from Merck, Grifols and Bayer and serving on advisory boards for Roche, Biogen and Alexion. S.R.I. has received honoraria/research support from UCB, Immunovant, MedImmune, Roche, Janssen, Cerebral therapeutics, ADC therapeutics, Brain, CSL Behring and ONO Pharma; licensed royalties on patent application WO/2010/046716 entitled 'Neurological Autoimmune Disorders' and has filed two other patents entitled 'Diagnostic method and therapy' (WO2019211633 and US-2021-0071249-A1; PCT application WO202189788A1) and 'Biomarkers' (PCT/GB2022/050614 and WO202189788A1). The remaining authors report no competing interests.

## Supplementary material

Supplementary material is available at Brain online.

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