### 1 Title: Type I interferon protects mice from fatal neurotropic infection with Langat virus

- 2 by systemic and local anti-viral response
- 3
- 4 Elvira Weber<sup>a,\*,#</sup>, Katja Finsterbusch<sup>b,\*,##</sup>, Richard Lindquist<sup>a</sup>, Sharmila Nair<sup>b</sup>, Stefan
- 5 Lienenklaus<sup>c</sup>, Nelson O Gekara<sup>d</sup>, Dirk Janik<sup>e</sup>, Siegfried Weiss<sup>c</sup>, Ulrich Kalinke<sup>f</sup>, Anna K.
- 6 Överby<sup>a,¶</sup> and Andrea Kröger<sup>b,¶</sup>
- 7
- 8 <sup>a</sup>Department of Clinical Microbiology, Virology, Umeå University, SE-901 85 Umeå, Sweden
- 9 <sup>b</sup>Innate Immunity and Infection; Helmholtz Centre for Infection Research, Braunschweig,

10 Germany

- <sup>c</sup>Department of Molecular Immunology, Helmholtz Centre for Infection Research,
  Braunschweig, Germany
- <sup>d</sup>Department of Molecular Biology, Umeå University, SE-901 87 Umeå, Sweden
- <sup>14</sup><sup>e</sup>Institute of Pathology, Helmholtz Center Munich, Neuherberg, Germany
- <sup>15</sup> <sup>f</sup>Institute for Experimental Infection Research, TWINCORE, Hannover, Germany
- 16  $^{*,\P}$  these authors contributed equally to this work
- 17
- 18 Running title: Type I IFN protects from LGTV neuropathogenesis
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- 20 Address correspondence to Andrea Kröger, andrea.kroeger@helmholtz-hzi.de, or Anna K
- 21 Överby, <u>anna.overby@climi.umu.se</u>
- 22 Present address: # Elvira Weber, Life & Medical Sciences Institute (LIMES), University of
- 23 Bonn; ## Katja Finsterbusch, Department of Dermatology, Cardiff University,
- 24 School of Medicine, Cardiff, United Kingdom
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#### 28 Abstract

Vector-borne flaviviruses such as tick-borne encephalitis virus (TBEV), West Nile virus and 29 dengue virus cause millions of infections in humans. TBEV causes a broad range of 30 31 pathological symptoms ranging from meningitis to severe encephalitis or even hemorrhagic fever with high mortality. Despite the availability of an effective vaccine, incidence of TBEV 32 33 infections is increasing. Not much is known about the role of the innate immune system in the 34 control of TBEV infections. Here, we show that the type I interferon (IFN) system is essential for protection against TBEV and Langat virus (LGTV) in mice. In the absence of a functional 35 IFN system, mice rapidly develop neurological symptoms and succumb to LGTV and TBEV 36 37 infections. Type I IFN system deficiency results in severe neuro-inflammation in LGTVinfected mice characterized by breakdown of the blood-brain barrier and infiltration of 38 macrophages into the central nervous system (CNS). Using mice with tissue-specific IFN 39 40 receptor deletions, we show that a coordinated activation of the type I IFN system in peripheral tissues as well as in the CNS is indispensable for viral control and protection 41 42 against virus induced inflammation and fatal encephalitis.

### 43

#### 44 Importance

45 The type I interferon (IFN) system is important to control viral infections, however, the 46 interactions between tick-borne encephalitis virus (TBEV) and the type I IFN system is poorly characterized. TBEV causes severe infections in humans that are characterized by fever and 47 48 debilitating encephalitis, which can progress to chronic illness or death. No treatment options 49 are available. An improved understanding of antiviral innate immune responses is pivotal for the development of effective therapeutics. We show that type I IFN, an effector molecule of 50 the innate immune system is responsible for the extended survival of TBEV and Langat virus 51 52 (LGTV), an attenuated member of the TBE serogroup. IFN production and signaling appeared to be essential in two different phases during infection: first in the periphery, by reducing 53 3

- systemic LGTV replication and spreading into the central nervous system (CNS). Secondly,
  the local IFN response in the CNS prevents virus-induced inflammation and the development
  of encephalitis.
- 57

#### 58 Introduction:

Flaviviruses (family *Flaviviridae*) are widely distributed all over the world. Such arthropod-59 borne viruses (arboviruses) are responsible for millions of debilitating human infections 60 61 annually. They include West Nile virus (WNV), Japanese encephalitis virus (JEV), dengue virus (serotypes 1-4, DENV), yellow fever virus and tick-borne encephalitis virus (TBEV). 62 TBEV is medically the most important arbovirus in Europe and Russia. Despite the 63 64 availability of an effective vaccine, the number of infections is increasing and currently accounts for up to 10,000 cases per year (1, 2). The clinical spectrum ranges from mild 65 symptoms to severe encephalitis, meningitis, hemorrhagic fever, or chronic progressive forms 66 67 of the disease (2). While mortality rates vary from 0.5 to 30 %, neurological sequelae can occur in 30-60 % of survivors (1, 3, 4). For unknown reasons, encephalitis severity increases 68 with age (2). Moreover, TBEV strains from Siberia and the Far East seem to be more 69 70 aggressive than strains from Central Europe (3). Many aspects of TBEV infection and pathogenesis remain unresolved, especially its interactions with the innate immune system, 71 72 and particularly the IFN system.

73 It is known that the IFN system is important for viral control and can contribute to viral pathogenicity (5, 6). Induction of type I IFNs is triggered by recognition of viral signature 74 75 molecules such as double stranded RNA (dsRNA) and by pattern recognition receptors 76 (PRRs) such as the cytoplasmic retinoic acid induced gene-I (RIG-I) family or the membrane bound Toll-like receptors (TLRs) (7, 8). Activation of PRRs leads to signaling cascades 77 78 resulting in the activation of IFN genes via transcriptional factors IFN regulatory factor 3 79 (IRF-3) and IRF-7 (9, 10). Upon secretion, IFNs signal in a paracrine and autocrine manner via the type I IFN receptor (IFNAR) present on virtually all host cells to trigger the induction 80 of IFN-stimulated genes (ISGs), which mediate an anti-viral and immune modulatory activity 81 82 (11-13). ISGs can interfere with viral entry, viral transcription, translation, genome replication, or exit from the cell in a virus-specific manner (14). 83

84 Although type I IFN signaling is generally recognized as an important component of anti-viral 85 innate immunity, studies so far indicate that its role during vector-borne flavivirus infections is complex and varies from one type of infection to the other. For instance, whereas type I 86 87 IFN response in DENV infections limits only initial viral replication, it has no impact on disease development and control of the virus from the central nervous system (CNS) (15, 16). 88 In WNV infections, type I IFN response limits viral replication, protects neurons from cell 89 90 death and shapes maturation of anti-viral T cells but is not able to protect mice from lethal encephalitis (17, 18). The induction and role of type I IFNs during TBEV infection is not well 91 understood. Owing to its ability to replicate within vesicles induced by rearrangement of 92 93 cellular endoplasmic reticulum (ER) membranes, TBEV is able to prevent cellular recognition by pattern recognition receptors (PRR). This delays IFN- $\beta$  induction which may give the virus 94 a head start (19, 20). Similar strategies have been shown for Japanese encephalitis virus. 95

96 Although *in vivo* induction of IFN by TBEV has previously been reported (21, 22), whether it 97 plays a protective role and in which cell types or tissues has not been addressed. To 98 investigate, we used the naturally attenuated Langat (LGTV) virus, which belongs to the 99 TBEV serogroup, as a model for TBEV infections. LGTV shares 82-88 % amino acid identity 100 with TBEV and has been tested as a successful candidate for a live-attenuated vaccine for 101 TBEV. However, vaccination was abandoned during clinical trials because encephalitis 102 occurred in approximately 1:10,000 of vaccine recipients (3).

By combining the use of mice with complete body deficiency in IFNAR as well as mice conditionally ablated in the type I IFN system in the periphery and CNS, respectively, we show that restriction of viral growth and protection against ensuing immunopathology is contingent on the intricate interplay of type I IFN system in the periphery as well as in the CNS.

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#### 109 Materials & Methods

#### 110 *Mice and viral infections*

C57BL/6 (WT) mice were purchased from Harlan. IFNAR<sup>-/-</sup>, IFN- $\beta^{+/\Delta luc}$ , 111 IFNAR<sup>fl/fl</sup>CreERT<sup>+/-</sup> and IFNAR<sup>fl/fl</sup>NesCre<sup>+/-</sup> (23) mice on C57BL/6 background were bred 112 under specific pathogen-free conditions at the Helmholtz Centre for Infection Research. For 113 tamoxifen treatment, 2 mg was dissolved in 100 µl ClinOleic (Baxter, Lessines, Belgium). 114 115 The solution was administered twice to 6-8 week old mice by oral gavage. VSV, LGTV strain TP21 and TBEV strain Hypr 71 was propagated in Vero B4 cells. Titers were determined by 116 plaque assays on Vero B4 cells (19). 6-12 week-old mice were intraperitoneally infected with 117 118 the indicated plaque forming units (pfu) of LGTV or TBEV strain Hypr in 100 µl PBS. For intracranial infections, mice were anesthetized by intraperitoneal injection with a mixture of 119 ketamine (100  $\mu$ g/g body weight) and xylazine (5  $\mu$ g/g body weight). Mice were injected with 120 10 or 10<sup>2</sup> pfu of LGTV in 20 µl PBS. Administration of VSV was performed as described 121 122 previously (35). Mice which lost more than 20 % of their body weight were sacrificed and 123 perfused with 10 ml of PBS. All animal experiments were performed according to the 124 guidelines of the German Animal Welfare Law (AZ 33.9-42502-05-12A295). Experiments with TBEV strain Hypr were performed in the BSL-3 facility at the Helmholtz Center for 125 126 Infection Research.

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#### 128 IFN- $\alpha$ ELISA and Luciferase assay

129 The amount of murine IFN- $\alpha$  in mouse serum was determined by enzyme-linked 130 immunosorbent assay (ELISA) according to the manufacturer's instructions (PBL). For the 131 luciferase assay, mouse organs were homogenized in 500 µl Reporter Lysis Buffer (Promega) 132 using the FastPrep-24 (MP). Lysates were mixed with LARII (Promega) and measured in a 133 luminometer (Berthold). For *in vivo* imaging, mice were injected intravenously with 134 150 mg/kg of D-luciferin in PBS (CaliperLS), anesthetized using Isofluran (Baxter) and 7 monitored using an IVIS 200 imaging system (CaliperLS). Photon flux was quantified usingthe Living Image 3.2 software (CaliperLS).

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#### 138 RNA extraction and real-time RT-PCR

139 Perfused mouse organs were homogenized in Trizol reagent (Invitrogen) using Lysis Matrix 140 (Nordic Biolabs) and the tissue homogenizer FastPrep-24 (MP). The RNA was extracted 141 using the Nucleo-Spin RNA II kit (Macherey Nagel). Total RNA (500-1000 ng) was used to 142 synthesize cDNA with the QuantiTect Reverse Transcription Kit (Qiagen). Levels of mouse GAPDH, IFN- $\beta$ , IL-6 and TNF $\alpha$  mRNA were detected by validated QuantiTect primer assays 143 144 (Qiagen) and the KAPA SYBR FAST qPCR Kit using the 7900HT Fast-Real-time PCR System (Applied Biosystems). Viral TBEV RNAs were detected by TaqMan probes for 145 TBEV (24) and the KAPA probe FAST qPCR kit. The results were normalized using the 146 147 housekeeping gene GAPDH and analyzed as fold change relative to RNA samples from mock 148 infected mice using the comparative CT method ( $\Delta\Delta_{CT}$ ). The sensitivity of the LGTV assay is  $10^4$  copies. 149

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# 151 Immune cell analysis

152 Mice were sacrificed at indicated time points and perfused with 25 ml PBS. Brains were 153 isolated and homogenized through a 40 µm cell strainer and digested with collagenase D (Roche) at room temperature for one hour. Immune cells were separated by centrifugation on 154 155 a discontinuous 70-to-30 % Percoll gradient. Cells were stained with antibodies specific for 156 CD3, CD4, CD8, CD11b, CD11c and CD45 (BD). Analysis was performed on a BD LSRII 157 using BD FACSDiva and FlowJo software. To identify infected immune cells, splenocytes 158 from 2 to 3 spleens were isolated by homogenization through a 40 µm mesh. Cells were 159 stained with specific antibodies for CD3, B220, CD11c and F4/80 (BD) and sorted by a BD 160 FACS Aria-II.

161

#### 162 Bone marrow chimeras

Bone marrow chimeras of WT and IFNAR<sup>-/-</sup> mice were generated using standard techniques. 163 Congenitally marked CD45.1 or CD45.2 WT mice and CD45.2-marked IFNAR<sup>-/-</sup> mice were 164 used to track the bone marrow reconstitution. Bone marrow cells were collected from the hind 165 leg bones of 4-6 week old CD45.2 IFNAR<sup>-/-</sup> mice, CD45.1 WT mice and CD45.2 WT mice. 166 6-8 week old recipient mice were irradiated with 950 rads (9,5 Gy) to achieve complete bone 167 marrow ablation. One day after irradiation,  $1 \times 10^7$  cells were intravenously injected to each 168 mouse via the tail vein. Mice were fed with enrofloxacin (Baytril) for two weeks and allowed 169 170 to recover for 6-8 weeks. Reconstitution of mice was analyzed by FACS, and successfully reconstituted mice, determined by the CD45 marker (BD) and >80 % reconstitution, were 171 infected intraperitoneally with LGTV. 172

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#### 174 Immunohistochemistry

175 Immunohistochemical analysis was performed on LGTV-infected or non-infected IFNAR 176 mice at different time points (n=3 per time point). Brains and spleens were removed after cardiac perfusion with PBS followed by 4 % paraformaldehyde. Organs were fixed in 4 % 177 neutrally buffered formaldehyde for 24 to 48h, embedded in paraffin, cut into 3um sections 178 179 and stained with hematoxylin-eosin. Sections were evaluated by light-microscopy blinded to 180 the experimental groups. Immunohistochemistry was performed on the same formalin fixed and paraffin embedded specimen. After heat mediated antigen retrieval, the sections were 181 182 stained by double staining with antiNS5/NeuN, anti EP/GFAP and anti EP/IBA1 for the brain 183 sections. Additionally, single staining for EP and IBA1 was conducted. The following antibodies were used: mouse anti-GFAP (Sigma, 1:500), mouse anti-NeuN (Milipore, 1:500), 184 rabbit anti-IBA1 (Synaptic System, 1:500), mouse anti-E protein (25) and chicken anti-NS5 185 186 protein (1:1600) (26).

187

#### 188 Blood-brain barrier

The integrity of the blood-brain barrier was assessed by Evan's blue dye exclusion test. WT and IFNAR<sup>-/-</sup> mice were infected intraperitoneally with LGTV. Mice were intravenously injected with 100  $\mu$ l 2 % Evans blue (Sigma) in PBS. After 1 hour, animals were transcardially perfused with 20 ml PBS and the brains were removed, photographed, weighed, and homogenized in 50 % TCA. After 30 minutes incubation at room temperature, samples were spun down and absorbance of supernatants was measured at 620 nm.

196 **Results** 

Type I IFN protects mice from lethal LGTV infection. The role of type I IFNs in infections 197 with flaviviruses is variable (16, 18, 27). WT, IFN- $\beta^{-/-}$  or IFNAR<sup>-/-</sup> mice were infected 198 intraperitoneally with  $10^4$  pfu of LGTV (Figure 1A) to assess the role of type I IFN signaling 199 in defense against LGTV. IFNAR<sup>-/-</sup> mice were found to be highly susceptible to LGTV 200 201 infection, displaying 100 % mortality compared to 20 % mortality in WT. WT mice showed 202 no signs of illness with the exception of two moribund mice, which showed weight loss and hind limp paralysis within the first 2 days before they died. This is in contrast to all the 203 IFNAR<sup>-/-</sup> mice that exhibited onset of clinical signs on day 3-4 post-infection, including 204 weight loss, paralysis, hunchback posture, lethargy and fur ruffling. All IFNAR<sup>-/-</sup> mice died 205 within a mean survival time of 5 days post-infection. Even a dose as low as 1 pfu was found 206 to be lethal to IFNAR<sup>-/-</sup> mice within 5 days, further emphasizing the importance of the type I 207 IFN system in the protection against LGTV (Figure 1B). However, all mice deficient in IFN-β 208 209 survived without any symptoms indicating that IFN- $\alpha$  is sufficient to protect mice against the lethal outcome of LGTV infections. 210

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212 LGTV induces type I IFN response in multiple tissues thus inhibiting viral replication and spreading into the brain. Next we investigated the kinetics of type I IFN induction by LGTV 213 *in vivo* and the organs/tissues involved. WT mice infected intraperitoneally with  $10^4$  pfu of 214 LGTV had a significant IFN- $\alpha$  response at day 2 post-infection before returning to 215 216 background levels by day 4 (Figure 2A). Probably much like TBEV, which hides its dsRNA 217 from cytoplasmic PRR by rearranging internal ER-derived membranes thereby evading detection by PRR pathways for IFN induction (19), IFN- $\alpha$  response elicited by LGTV was 218 219 much lower than that by Vesicular stomatitis virus (VSV), a member of the Rhabdoviridae family, and a commonly used model for neurotropic viral infections. To complement the IFN-220  $\alpha$  data and to determine the localization and kinetics of type I IFN induction, we took 221 11

222	advantage of a luciferase-based IFN- $\beta$ reporter mouse strain IFN- $\beta^{+/\Delta\beta-luc}$ , to image whole
223	body IFN- $\beta$ induction <i>in vivo</i> (28). Although the magnitude of IFN- $\beta$ induction by LGTV was
224	much lower than that by VSV, the response of IFN- $\beta$ induction by both viruses <i>in vivo</i> was
225	similar, peaking after 1 day, then declining to basal level by day 8 post-infection (Figure
226	2B/C). IFN- $\beta$ induction by both viruses was localized to the thorax, peritoneum and inguinal
227	lymph nodes 24 hours post-infection. At 48 hours post-infection, the signal was focused in the
228	salivary gland region while 72 hours post-infection, it was mainly localized in the snout. This
229	pattern of IFN- $\beta$ induction was irrespective of infection doses (Figure 2B). Organ isolation
230	and quantification of luciferase activity revealed that the peak IFN- $\beta$ response within the first
231	24 hours, was mainly in lymphoid organs like lymph nodes, spleen and thymus and not liver,
232	heart or lung (Figure 2D). Further analysis revealed cervical lymph nodes as the prominent
233	source of the IFN- $\beta$ response during this period. However, at later time points (e.g. 4 days
234	post–infection) IFN- $\beta$ responses could also be detected in the brain (Figure 2D). These results
235	suggest that, peripheral inoculation of LGTV leads to type I IFN induction in multiple organs
236	including lymphoid tissues and brain. Importantly, because the predominant type I IFN
237	response induced by LGTV is presumably due to its dsRNA produced during viral replication,
238	these results also suggest that LGTV can target and replicate in different peripheral organs
239	and in the brain. To directly test this and evaluate if observed susceptibility of IFNAR $^{-/-}$ mice
240	was due to uncontrolled viral replication, the viral load in different peripheral organs and
241	central nervous system from WT and IFNAR <sup>-/-</sup> mice was calculated by real-time RT-PCR and
242	determination of infectious particles by plaque assay. High infection doses are lethal in
243	IFNAR <sup>-/-</sup> mice and since the kinetics of IFN- $\beta$ induction is similar to low viral doses (Figure
244	2B), we performed the following experiments with a dose of $10^2$ pfu. Consistent with the
245	observed resistance to LGTV infection, viral RNA in peripheral organs and the central
246	nervous system of WT mice was below the detection limit of our real time RT-PCR and no

viral particles were detectable by plaque assay (Figure 3). This is in contrast to IFNAR<sup>-/-</sup> mice 247 where viral RNA and infectious particles could readily be detected in peripheral organs (liver, 248 lung, spleen, kidney, thymus, lymph nodes), spinal cord and brain. In such mice, viral RNA 249 250 was observed to increase over time (Figure 3 and data not shown), indicating that ablation of the type I IFN system results in unrestrained systemic viral replication. Importantly, the fact 251 252 that the virus was already detectable at 2 days post-infection indicates that the high neuropathogenesis in LGTV-infected IFNAR<sup>-/-</sup> mice is due to rapid replication and spreading 253 of the virus into the brain (Figure 3B). In summary, the above results indicate that type I IFN 254 is crucial for protecting the animals from fatal outcome of infection both by restricting viral 255 256 replication in peripheral organs and its dissemination into the CNS.

257

Macrophages, dendritic cells and B220<sup>+</sup> cells are the main targets of LGTV in lymphoid 258 259 organs. The observations that IFN- $\beta$  response and viral replication is mainly localized in 260 lymphoid organs such as the spleen (Figure 2B/C) prompted us to investigate the cell types 261 targeted by LGTV and the impact thereof on the lymphoid structure. Hematoxylin and eosin 262 (H&E) staining of the spleen at day 4 post-infection revealed hyperplasia of the red pulp, 263 severe depletion of lymphoid follicles, massive apoptosis, and histiocytic hyperplasia (Figure 264 4A). Sorting of splenocytes by flow cytometry harvested at different time points, followed by analysis using real-time RT-PCR failed to detect viral RNA in B220<sup>+</sup>, CD3<sup>+</sup>, F4/80<sup>+</sup> and 265 CD11c<sup>+</sup> cells from infected WT mice (data not shown). This was in contrast to infected 266 IFNAR<sup>-/-</sup> mice where a time dependent increase in viral RNA was noted in F4/80<sup>+</sup>, CD11c<sup>+</sup> 267 268 cells and to some extent in  $B220^+$  cells (Figure 4C).

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Type I IFN signaling in both hematopoietic and non-hematopoietic cells is indispensable
for protection against LGTV infection. Beyond the hematopoietic (i.e. myeloid and
lymphoid) cells which - as shown above - are infected, next we sought to determine the

273	involvement of stromal cells. Towards this end, we established chimeric mice by adoptively
274	transferring bone marrow from WT and $\mbox{IFNAR}^{-\!/\!-}$ mice into lethally irradiated WT and
275	IFNAR <sup>-/-</sup> mice, further referred to as: WT $\rightarrow$ WT, WT $\rightarrow$ IFNAR <sup>-/-</sup> , IFNAR <sup>-/-</sup> $\rightarrow$ WT, IFNAR <sup>-/-</sup>
276	$\rightarrow$ IFNAR <sup>-/-</sup> (donor $\rightarrow$ irradiated recipient). Only mice with >80 % reconstitution were used.
277	WT mice were more resistant to LGTV infection than WT $\rightarrow$ WT bone marrow chimeras
278	which could be due to side effects from the irradiation. In contrast to WT $\rightarrow$ WT mice that
279	were significantly more resistant to infection, both WT $\rightarrow$ IFNAR <sup>-/-</sup> and IFNAR <sup>-/-</sup> $\rightarrow$ WT
280	chimeras were unable to limit the infection and died within 5 to 6 days (Figure 5A).
281	Curiously, although, no significant difference in survival was detected between WT $\rightarrow$
282	IFNAR <sup>-/-</sup> and IFNAR <sup>-/-</sup> $\rightarrow$ WT chimeras, the viral load in the different organs (spleen, spinal
283	cord and brain) of the chimera showed clear differences. IFNAR <sup>-/-</sup> $\rightarrow$ WT chimeras showed
284	viral load in the spleen in the early stages (day 2 post-infection) but not later stages (day 4
285	post-infection) of infection. On the other hand, WT $\rightarrow$ IFNAR <sup>-/-</sup> exhibited the highest viral
286	load in the initial stages of infection (Figure 5B). The ability of WT hematopoietic cells to
287	restrict viral growth in spleens of IFNAR <sup>-/-</sup> mice, mainly in the late stages suggests that in the
288	spleen, initial infection and viral replication occurs in stromal cells and later in hematopoietic
289	cells. This is in contrast to results seen in lungs, where IFNAR signaling in both
290	hematopoietic and non-hematopoietic cells seemed to be crucial for controlling viral
291	replication (Figure 5B). In the brain and spinal cord, viral RNA was detectable in mice
292	lacking IFNAR on hematopoietic cells by day 2 post-infection. However, by day 4 post-
293	infection, viral RNA was also detectable in the non-hematopoietic and partially radioresistent
294	microglia of IFNAR <sup>-/-</sup> mice. Collectively, this data suggests that both hematopoietic and non-
295	hematopoietic cells are targets of LGTV and that a coordinated type I IFN response in
296	peripheral immune cells as well as brain cells is vital for viral control.

298	Type I IFN response in the periphery and the central nervous system is critical for host
299	survival during LGTV infection. Given that LGTV and TBEV are neurotropic viruses that
300	cause encephalitis, next we sought to investigate the impact of type I IFN signaling in direct
301	anti-viral response in the CNS by administering the virus intracranially. Compared to the WT,
302	IFNAR <sup>-/-</sup> mice were found to be highly susceptible to LGTV infections and died within 4 days
303	post-infection with 10 pfu (Figure 6A). These results indicate that activation of type I IFN
304	response in resident brain cells is essential for survival. For further investigation, we made use
305	of two different transgenic animal models lacking the IFNAR in either the periphery or the
306	CNS. The first is based on IFNAR <sup>fl/fl</sup> CreERT <sup>+/-</sup> mice. Feeding twice with 2 mg of tamoxifen,
307	the activation of Cre fused to the modified estrogen receptor (ERT) results in the deletion of
308	IFNAR in the peripheral organs but not in the brain (Figure 6B). The second model is the
309	IFNAR <sup>fl/fl</sup> NesCre <sup>+/-</sup> mice where IFNAR is conditionally deleted in neuroectodermal cells of
310	the CNS including neurons, oligodendrocytes and astrocytes but not microglia. Such mice
311	show >90 % deletion efficiency in the brain, whereas other tissues such as spleen show no
312	deletion (5, 23). Following intraperitoneal inoculation with $10^2$ pfu of LGTV, all
313	IFNAR <sup>f1/f1</sup> CreERT <sup>+/-</sup> mice developed severe clinical symptoms and died within 5 to 6 days
314	(Figure 6B). IFNAR <sup>fl/fl</sup> NesCre <sup>+/-</sup> mice did not show signs of disease until day 7, when they
315	became hemiplegic and died within hours. This is in contrast to the IFNAR <sup>fl/fl</sup> mice fed with
316	tamoxifen, which survived the infection (Figure 6B). A comparison of viral loads in lung and
317	brain at a time point where both mice strains showed severe signs of illness; day 4 and day 8
318	for IFNAR <sup>fl/fl</sup> CreERT <sup>+/-</sup> and IFNAR <sup>fl/fl</sup> NesCre <sup>+/-</sup> respectively, revealed higher viral replication
319	in lungs of IFNAR <sup>fl/fl</sup> CreERT <sup>+/-</sup> (Figure 6C). Because no viral titers were detectable in the
320	brain of IFNAR <sup>fl/fl</sup> CreERT <sup>+/-</sup> mice, fatal infection of the CNS could not be the cause of death.
321	On the other hand, IFNAR <sup>fl/fl</sup> NesCre <sup>+/-</sup> displayed high viral replication in the brain on day 8
322	post-infection shortly before they died (Figure 6C). Consistent with an ability to target

323 different host cell types, this data suggests that a functional type I IFN system in the periphery as well as in the CNS is indispensable for restricting viral replication and entry into the brain. 324 The primary target cells for LGTV replication in the brain are unknown. To strengthen the 325 above findings, we performed immunohistochemical staining on different parts of the brain. 326 No inflammatory response or lesions could be detected in the brain of infected IFNAR<sup>-/-</sup> mice 327 by H&E staining (data not shown). Antibody staining against LGTV E protein detected 328 329 several foci of virus infected cells mainly in the olfactory bulb, the medulla oblongata and to a minor extent in the brainstem and the cortexof IFNAR<sup>-/-</sup> but not WT mice (Figure 7A and data 330 331 not shown). Cells of the meninges and choroid plexus were also strongly positive for LGTV. 332 Further immunohistochemical analysis of the expression of the LGTV NS5 protein (brown) showed an association with NeuN<sup>+</sup> neurons (red), whereas most of the GFAP<sup>+</sup> astrocytes and 333 IBA1<sup>+</sup> microglia showed no sign of brown staining (Figure 7B). Thus, in the absence of 334 335 IFNAR, LGTV spreads to different regions within the brain where it mainly infects neurons. 336 This is comparable to VSV in which neurons are also infected (35).

337

IFNAR protects against inflammatory response in the brain. Given that LGTV-infected 338 IFNAR<sup>-/-</sup> mice succumbed with symptoms of fatal encephalitis, next we characterized the 339 inflammatory response within the brains of LGTV-infected mice by flow cytometry (Figure 340 7C). Whereas no T cells (CD3<sup>+</sup>) were detectable in brains of WT or IFNAR<sup>-/-</sup> mice on day 4 341 post-infection, we observed infiltration of DCs (CD11c<sup>+</sup>) and macrophages (CD45<sup>hi</sup>CD11b<sup>+</sup>). 342 as well as an increase in activated microglia (CD45<sup>lo</sup>CD11b<sup>+</sup>). Importantly, the increased 343 presence of macrophages and activated microglia was more pronounced in the CNS of 344 IFNAR<sup>-/-</sup> mice (Figure 7C/D). Further analysis by real-time RT-PCR revealed a higher 345 346 expression of the pro-inflammatory cytokines IL-6 and TNFα in the brain of infected IFNAR<sup>-</sup> <sup>/-</sup> mice (Figure 7E). Virus-induced neuroinflammation is often associated with the loss of 347 blood-brain barrier (BBB) integrity (29). Evaluation of the integrity of the BBB by Evans blue 348

assay revealed a complete breakdown of the BBB allowing dye incorporation into brains of
IFNAR<sup>-/-</sup> mice but not WT by 4 days post-infection (Figure 7F), an indication that type I IFNs
are crucial for limiting viral replication and spreading into the CNS thereby preventing
neuroinflammation and preserving the integrity of the BBB.

353

**Type I IFN prolongs survival of TBEV-infected mice.** To determine if type I IFNs also play an important role in highly pathogenic TBEV infections, we infected WT and IFNAR<sup>-/-</sup> mice with TBEV strain Hypr (Figure 8). Underscoring the importance of type I IFNs, TBEVinfected IFNAR<sup>-/-</sup> mice developed severe clinical symptoms including weight loss, paralysis, hunchback posture, lethargy and fur ruffling and died 5 days earlier than WT mice.

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#### 359 Discussion

Here we used LGTV, a naturally attenuated member of the TBEV serogroup, as a surrogate 360 pathogen to investigate the importance of type I IFN system in the course of TBEV infection. 361 362 Using mice with a defective IFN system we demonstrate the essential function of the type I IFN system for the survival of the animal despite the fact that little IFN expression could be 363 detected in the serum by a reporter system. By using different knock-out mice, lacking type I 364 365 IFN response either in the periphery or the CNS, we showed that systemic type I IFN response alone is not sufficient for viral control. Rather, the cooperation of peripheral and 366 local type I IFN response in the CNS is necessary to control viral replication and disease 367 368 progression.

The low amount of type I IFN induced by LGTV could be explained by inhibition of the IFN 369 system as a viral escape mechanism. We previously reported that TBEV rearranges 370 371 cytoplasmic membranes for the formation of virus factories and sequesters viral RNA in 372 membranous structures to hide them from detection by pathogen recognition receptors (19). 373 TBEV also antagonizes IFN signaling by impairment of IFN-stimulated JAK-STAT signal 374 transduction (30, 31). This leads to a reduced expression of anti-viral ISGs. Furthermore, anti-375 viral responses can be dampened by interfering with a direct anti-viral response by TRIM79 $\alpha$ , 376 which specifically inhibits TBEV replication (26) or by the inhibition of the positive feedback 377 loop of IFN induction by reduced IRF-7 expression (9). However, a specific protein 378 responsible for this inhibition by TBEV or LGTV has not yet been detected (19).

The general role of type I IFNs in *in vivo* infection with flaviviruses appears to be important. Type I IFN is essential for controlling WNV infections, JEV and Murray Valley encephalitis virus (18, 27, 32, 33). In contrast, IFNAR<sup>-/-</sup> mice showed no lethality following intravenous infections with DENV, although viral replication and spread to some tissues is enhanced (16). However, the suitability of the mouse model for this species-specific virus is debatable as the viral evasion mechanism could be species-specific as well. Our experiments demonstrate that although low amounts of type I IFN were produced upon LGTV infection, this amount is sufficient to protect mice from fatal encephalitis. IFNAR<sup>-/-</sup> mice are highly susceptible to LGTV infection and were unable to control the viral replication and spread. Interestingly, the highly pathogenic TBEV strain Hypr showed the same phenotype as the attenuated LGTV in IFNAR<sup>-/-</sup> mice, both strains killed the mice with the same kinetic, suggesting an important role for the type I IFN system in reducing the infection progress of TBEV.

391 The type III IFNs seems to play a minor role in the CNS in response to viral infection compared to type I IFNs. Only very low levels of IFN- $\lambda$  mRNA have been detected in brains 392 393 of mice infected with mouse hepatitis virus or lactate dehydrogenase-elevating virus (LDV), 394 although IFN- $\lambda$  was detectable in the liver (34). Various cell types of the CNS, including 395 oligodendrocytes, astrocytes and neurons respond to IFN produced upon viral infection. While type I IFN receptor can be expressed by most cell types the type III IFN receptor 396 397 appears to be preferentially expressed by epithelial cells. However, very little is known about 398 the specific responsiveness of CNS cells to IFN- $\lambda$ . Quantitative RT-PCR data show no 399 expression of the IFN- $\lambda$  receptor, IL28R, in the CNS in contrast to lung tissue (35). However, 400 epithelial cells of the choroid plexus were infected by LGTV, so we cannot completely rule 401 out an IFN- $\lambda$  mediated local antiviral effect in these cells.

402 The cellular tropism of LGTV is not completely understood. Intradermal injection followed 403 by ex vivo analysis revealed a primary infection of local dendritic cells, neutrophils and 404 monocytes (36). Furthermore, cell culture experiments showed that macrophages are 405 infectable and produce high amounts of viral titers (37). Our results in vivo show that LGTV 406 is indiscriminate and that it can target different hematopoietic and non-hematopoietic cell 407 types in the peripheral and CNS tissues. In the lymphoid tissues, LGTV mainly targets 408 macrophages, DCs, and B220<sup>+</sup> cells as well as stromal cells, while in the CNS LGTV is found 409 distributed in several regions such olfactory bulb, medulla oblongata, brainstem and the 410 cortex. This broad cellular/tissue tropism may underlie the absolute requirement for a fully 19

411 functional type I IFN system in the peripheral tissues and CNS for halting viral replication and disease pathology. In the case of DENV infections, type I IFN response limits only initial 412 413 viral replication but has no apparent effect on control of the virus from the CNS and disease 414 development (15, 16). Similarly, although important for controlling viral replication, type I IFN response was not protective against lethal encephalitis during WNV infections (17, 18). 415 416 Whether the inherent differences in cellular tropism could explain the difference in the 417 requirement for type I IFNs during vector-borne flavivirus infections warrants further studies. Irrespective of this, the broad cellular tropism of LGTV suggests that this virus is well 418 adapted to the murine system and thus more suitable for modeling neurotropic flavivirus 419 420 infection in mice.

The highest risk during neurotropic viral infection is the spread of the virus to the CNS 421 causing induction of inflammatory responses and the destruction of neuronal cells. 422 Immunopathology in TBEV infections is partly mediated by  $CD8^+$  T cells and IFN- $\gamma$ 423 424 production (38, 39), in which the antigen specificity rather than the number or activation level 425 of brain-infiltrating T cells is critical (40). The present study clearly shows no involvement of 426 T cells in the early state of infection but it does show that the type I IFN system plays an 427 essential role in preventing viral dissemination into the brain and its replication therein. 428 Precisely how the virus gains entry into the brain and which cell types are responsible in type 429 I IFN response in the CNS is unclear. Our IFN- $\beta$  luciferase reporter mice show that LGTV 430 can induce IFN- $\beta$  gene induction in the brain, an indication of viral replication therein. Since such mice do not show any signs of disease, these observations alongside the fact that viral 431 RNA can be detected in IFNAR<sup>fl/fl</sup>NesCre<sup>+/-</sup> mice in which IFNAR is only deleted in the CNS. 432 indicate that the virus enters the CNS despite the presence of a functional IFN system in the 433 434 periphery.

One of the possible mechanisms of viral entry into the brain is via recruitment of infected
inflammatory cells through the damaged BBB. However, this is unlikely the case for LGTV.
20

437 Since TBEV has previously been shown to enter the brain through the intact BBB (29). In accordance, we could already detect LGTV RNA in the brain of IFNAR<sup>-/-</sup> mice by day 2 post-438 infection, well before BBB breakdown which occurred at day 4. Furthermore, the IFN-β 439 response seen in the brains of IFN- $\beta$  luciferase reporter mice which did not show signs of 440 neuroinflammation suggests that entry of LGTV into the brain is not due to breakdown of the 441 BBB. Increased BBB permeability corresponds to expression of several chemokines and 442 cytokines (41, 42). Accordingly, TNFa, IL-6 and Ccl5 mRNA was detectable in the brains of 443 infected IFNAR<sup>-/-</sup> mice. Thus, the BBB breakdown in LGTV infected mice is most likely due 444 445 to the inflammatory cytokines and chemokines induced by viral replication in the brain. A more viable mechanism of viral entry into the brain could be via retrograde axonal 446 transportation of the virus from peripheral nerve cells, a mechanism which has previously 447 been shown for WNV (43) and enterovirus 71 (44). 448

In summary, type I IFN signaling plays a critical role in the host's defense against LGTV in two distinct phases during infection: 1. in the periphery, by limiting systemic LGTV replication and dissemination, which limits the amount of virus spread to the CNS. 2. Directly in the CNS, by a local anti-viral response, which prevents virus induced inflammation and the development of encephalitis.

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614

#### 615 **Figure Legends**

#### **Figure 1. Type I IFN response is crucial for survival of infections.**

617 A, Survival analysis of WT, IFNAR<sup>-/-</sup>, and IFN $\beta^{-/-}$  mice (n=10). 6-8 week old age-matched 618 mice were infected intraperitoneally with 10<sup>4</sup> pfu of LGTV, and mortality was observed for 619 21 days. B, Survival analysis of IFNAR<sup>-/-</sup> mice after intraperitoneal infection with 100, 10 and 620 1 pfu of LGTV (n=5). Data are cumulative of at least two independent experiments. Survival 621 differences were tested for statistical significance by the log-rank test.

622

# **Figure 2. LGTV infection leads to type I IFN induction.**

A, Mice were infected intraperioneally with 10<sup>4</sup> pfu of LGTV or intranasally with 10<sup>4</sup> pfu VSV. Serum was collected on day 0, 2 and 4 post-infection. IFN-α protein level was determined by ELISA. B, Whole-body imaging of IFN- $\beta^{+/\Delta\beta-luc}$  reporter mice after intraperitoneal infection with 10<sup>2</sup> and 10<sup>4</sup> pfu of LGTV. Mice were imaged before treatment (0 h) and over time as indicated. Images from a representative mouse are shown. The rainbow scale indicates the number of photons measured per second per cm<sup>2</sup> per steradian (sr). C,

Comparison of IFN-B induction in VSV and LGTV-infected mice. Mice were infected 630 intraperitoneally with  $10^4$  pfu of LGTV or intranasally with  $10^4$  pfu VSV. Whole-body 631 imaging of IFN- $\beta^{+/\Delta\beta-luc}$  reporter mice. Mice were imaged before treatment (0 h) and over time 632 633 as indicated; one representative mouse is shown. Images from LGTV-infected mice are 634 identical with that of figure 2B, but shown in another range of the rainbow scale to prevent saturation of pictures from VSV-infected mice. The scale indicates the number of photons 635 measured per second per  $cm^2$  per steradian (sr). D, Quantification of luciferase activity in 636 different organs of IFN- $\beta^{+/\Delta\beta-luc}$  mice. Mice were sacrificed at the indicated time points post-637 638 infection and selected organs were isolated and homogenized for luciferase activity measurement. Fold induction represents relative luminescence units per organ. LN, lymph 639

640 nodes. Data represent the mean  $\pm$  SEM of 3 to 7 mice per time point from at least two 641 independent experiments. Asterisks indicate values that were statistically significant: \*\*, P < 642 0.01, based on the Mann-Whitney test.

643

## 644 Figure 3. Type I IFN limits LGTV replication and spread.

WT and IFNAR<sup>-/-</sup> mice were infected intraperitoneally with 10<sup>2</sup> pfu of LGTV and viral load was measured by real-time RT-PCR (A/B) and plaque forming units (C/D). Detection of relative LGTV mRNA levels in spleen and lung (A), as well as spinal cord and brain (B) on day 2 and 4 post-infection. Determination of viral particles in spleen and lung (C), as well as spinal cord and brain (D). Lines represent the means of 5 to 10 mice per time point from at least two independent experiments. Dashed line shows detection level of LGTV. a.u., arbitrary units.

652

#### **Figure 4. Identification of LGTV-infected cells.**

IFNAR<sup>-/-</sup> mice were infected intraperitoneally with  $10^2$  pfu LGTV and sacrificed at the indicated time points post-infection. A, H&E staining of spleens of uninfected and LGTV infected mice 4 days post-infection. Scale bar represents 50 µm. B, Sorting strategy of CD3<sup>+</sup>, B220<sup>+</sup>, CD11c<sup>+</sup> and F4/80<sup>+</sup> cells. C, Splenocytes were isolated, stained for cell-specific markers and sorted for F4/80<sup>+</sup>, CD11c<sup>+</sup>, B220<sup>+</sup> and CD3<sup>+</sup> cells. Viral load was measured by real-time RT-PCR. Lines represent means of 4-5 samples from at least two independent experiments. Dashed line shows detection level of LGTV. a.u., arbitrary units.

661

# Figure 5. Type I IFN response is essential in hematopoetic and non-hematopoetic cells during LGTV infection.

664 WT and IFNAR<sup>-/-</sup> mice were lethally irradiated and reconstituted with bone marrow from 665 IFNAR<sup>-/-</sup> or WT mice, respectively. After 6-8 weeks, chimeric mice were inoculated 30

intraperitoneally with 10<sup>2</sup> pfu LGTV. A, Survival was monitored and plotted as Kaplan-Meier 666 curves (n=5-11). Data are cumulative of two independent experiments. Survival differences 667 were tested for statistical significance by the log-rank test. B, Viral load was measured by 668 669 real-time RT-PCR in spleen and lung, spinal cord and brain on day 2 and 4 post-infection. Lines represent the means of 5 mice per time point from two independent experiments. 670 671 Dashed line shows detection level of LGTV. a.u., arbitrary units. Asterisks indicate values that were statistically significant: \* P<0.05, \*\* P<0.01, \*\*\* P<0.0005, \*\*\*\* P<0.0001, based on the 672 673 unpaired t-test.

674

# Figure 6 Peripheral and local type I IFN response in the CNS is critical for survival of LGTV infection

A, Survival analysis of WT and IFNAR<sup>-/-</sup> mice after intracranial injection of 10 and 10<sup>2</sup> pfu 677 of LGTV (n=5). B, Mice expressing a conditional IFNAR (IFNAR<sup>fl/fl</sup>) were intercrossed with 678  $CreERT^{+/-}$  mice to obtain mice with a deletion of IFNAR in the periphery 679  $(IFNAR^{fl/fl}CreERT^{+/-})$ . Mice were fed with tamoxifen and screened for tissue-specific deletion 680 681 682 resulted in a 339 bp fragment in cases Exon 10 was deleted. Li, liver, Lu, lung, S, spleen, BS, brain stem, OB, olfactory bulb, C, cerebrum, +, positive control, -, water control. Survival 683 analysis of IFNAR<sup>fl/fl</sup> (n=9), IFNAR<sup>-/-</sup> (n=16), IFNAR<sup>fl/fl</sup>CreERT<sup>+/-</sup> (n=5) and 684 IFNAR<sup>fl/fl</sup>NesCre<sup>+/-</sup> (n=13) mice after intraperitoneal infection with 10<sup>2</sup> pfu of LGTV. 685 Mortality was followed for 21 days. Survival differences were tested for statistical 686 significance by the log-rank test. C, IFNAR<sup>fl/fl</sup>CreERT<sup>+/-</sup> and IFNAR<sup>fl/fl</sup>NesCre<sup>+/-</sup> mice were 687 infected intraperitoneally with  $10^2$  pfu of LGTV and viral load was measured by real-time 688 689 RT-PCR in lung and brain on day 4 and 8 post-infection. Lines represent the means of 3-6

690 mice per time point from at least two independent experiments. Dashed line shows detection691 level of LGTV. a.u., arbitrary units.

692

## 693 Figure 7 Inflammatory responses in the brain upon LGTV infection

WT and IFNAR<sup>-/-</sup> mice were infected intraperioneally with 10<sup>2</sup> pfu LGTV and analyzed 4 694 days post-infection. A, Immunohistochemical staining of LGTV E-protein (brown) in 695 different parts of the brain of IFNAR<sup>-/-</sup> mice. Scale bar represents 25 µm. B, 696 Immunohistochemical analysis of LGTV E- or NS5-protein expressing cells in the medulla 697 oblongata in IFNAR<sup>-/-</sup> mice. EP: E-protein of LGTV (brown), NS5: non-structural protein 5 698 of LGTV (brown), IBA1: microglia (red), GFAP: astrocytes (red), NeuN: neurons (red). Scale 699 700 bar represents 25 µm. C, Leukocytes infiltration into the CNS (n=5) was assessed by flow 701 cytometry at day 4 post-infection. Cells recovered from perfused brains were stained with 702 antibodies to CD11c, CD3, CD45 and CD11b. Total cell numbers were assessed by flow cytometry. DC, dendritic cells, T, T cells, Mono, mononucleated cells, Mig, microglia. D, 703 Immunohistochemical analysis of microglia (IBA1: red) in the brain of uninfected and 704 infected IFNAR<sup>-/-</sup> mice. Scale bar represents 25 µm. E, Expression of proinflammatory 705 cytokines IL-6 and TNF $\alpha$  in the brains of WT and IFNAR<sup>-/-</sup> mice by real-time RT-PCR. F. 706 Detection of BBB integrity in WT and IFNAR<sup>-/-</sup> mice upon LGTV infection (n=4). Brains of 707 infected mice were isolated 4 days post-infection. One hour before harvesting, mice were 708 709 injected intravenously with Evans blue dye. Representative photographs are of the dorsal 710 surface. Quantification of Evans blue concentration in brain extracts. Data represent the mean 711  $\pm$  SEM and are cumulative from at least two independent experiments. Asterisks indicate values that were statistically significant: \*, P < 0.05, compared to WT mice, based on the 712 713 Mann-Whitney test.

714

#### 715 Figure 8. Type I IFN response prolongs survival of TBEV infections

Survival analysis of WT and IFNAR<sup>-/-</sup> mice (n=5) after intraperitoneal infection with 10<sup>4</sup> pfu
of TBEV strain Hypr. Survival differences were tested for statistical significance by the logrank test.











Figure 3







# Figure 5





Figure 7



Figure 8

