

Genomic Distribution and Transcription of Solitary HERV-K LTRs

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The human genome contains a family of endogenous retroviruses, HERV-K, with sequence homology to the B-type mouse mammary tumor virus. We have now identified a single HERV-K LTR within the C-type-related human retroviral element S71. The HERV-K LTR is located in the antisense direction between the S71 *gag* and the *pol* gene, replacing the 5' half of S71 *pol*. A number of HERV-K LTR-related cDNA clones were detected by screening various human cDNA libraries with an S71 HERV-K LTR probe, indicating abundant transcription of HERV-K-related LTRs in human tissues. Sequence analysis of four cDNA clones revealed LTR sequences with a nucleotide identity of 70 to 90% with HERV-K10 LTR. Some HERV-K-related LTR sequences contain potential short open reading frames. The analyzed cDNA clones do not harbor any retroviral sequences other than those related to HERV-K LTRs. However, most of the solitary LTRs were found to be coexpressed with cellular sequences. Transcription of these LTRs is probably directed by external cellular promoters. We show that HERV-K LTR-like sequences entered the primate genome about 33–40 million years ago. We estimate the human genome to contain about 25,000 copies of HERV-K-related LTRs, which are distributed over most human chromosomes in an irregular manner. © 1993 Academic Press, Inc.

INTRODUCTION

Endogenous retroviruses and retroviral elements have been detected in the DNA of many vertebrate species, including primates. As a rule, they persist as silent retroviral copies in their host cell genome and are transmitted through the germ line as stable Mendelian genes. To date, several distinct families of human endogenous retroviral elements (HERVs) ranging from 1 to 10,000 copies per haploid human genome have been detected (for

review see Larson *et al.*, 1989; Leib-Mösch *et al.*, 1990). They are estimated to constitute at least 0.6% of the human genome and are widely distributed over all human chromosomes.

Little is known about the biological function of HERVs. Like other transposable elements, they have probably been dispersed in the genome by intracellular transposition events, thereby acting as a constructive driving force in evolution. In time, some of these elements seem to have acquired cellular functions and may play a role in gene regulation (Kato *et al.*, 1990; Samuelson *et al.*, 1990; Feuchter *et al.*, 1992). Furthermore, gene products of HERVs might be involved in physiological processes such as protection against superinfection by related exogenous retroviruses or modulation of immunological functions (Ciancolo *et al.*, 1985).

One family of HERVs, HERV-K, was characterized by its sequence homology with the B-type mouse mammary tumor virus (MMTV) and Syrian hamster intracisternal A-type particles (IAP) (Ono, 1986; Ono *et al.*, 1986). Members of this family represent full-length proviral genomes of 9.4 kb with LTRs of about 1000 bp at both ends. Thus, of all known retroviral LTRs, HERV-K LTRs rank second in length after the LTRs of MMTV. The HERV-K family of full-length proviruses was found to be present at about 50 copies per human haploid genome.

Another family of HERVs comprising about 15 to 20 copies has been identified by its relationship to the C-type simian sarcoma (associated) virus (SS(A)V) (Leib-Mösch *et al.*, 1986; Brack-Werner *et al.*, 1989; Werner *et al.*, 1990). One member of this family, S71, is a truncated provirus with *gag* and *pol* sequences and a 3' LTR-like sequence. We now have identified a single HERV-K LTR within the C-type-related human retroviral element S71. Upon screening a number of human cDNA libraries, we have found that HERV-K-related solitary LTRs are abundantly expressed in various human tissues.

MATERIALS AND METHODS

DNA sources. A Southern blot containing *Hind*III-digested genomic DNA from various species (Zoo blot) was obtained from Clontech

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Laboratories Inc. (Palo Alto, CA). DNAs from a Chinese hamster × human somatic cell hybrid mapping panel were kindly provided by U. Francke (Howard Hughes Medical Institute, Stanford University).

Southern blot hybridization. Genomic DNA was digested with restriction enzymes, and the resulting fragments were separated by electrophoresis in 0.8% agarose gels and transferred to nylon membranes (Zetaprobe, Bio-Rad; or Hybond N+ membrane, Amersham) by the method of Southern (1975). Filters were prehybridized for 2 h at 65°C in 6 × SSC (1 × SSC is 0.15 M NaCl, 0.015 M sodium citrate), 0.05 M NaH₂PO₄, pH 6.5, 0.5% SDS, 0.7% powdered milk. Hybridization was carried out for at least 20 h at 65°C in 6 × SSC, 0.02 M NaH₂PO₄, pH 6.5, 0.5% SDS, 0.7% powdered milk with 1–2 × 10⁶ cpm/ml of denatured ³²P-labeled DNA. Filters were washed at room temperature for 30 min in 2 × SSC, 0.25% powdered milk and at 55°C for 2 h in 2 × SSC, 0.1% SDS.

Library screening. Human cDNA libraries HL1008 (placental tissue, 34 weeks old), HL1013 (osteosarcoma cell line MG-63, ATCC CRL 1427), HL1031b (T-cells, PHA-stimulated), HL1003b (normal human temporal cortex tissue), HL1065b (fetal brain from 21-week-old fetus), and HL1049b (glioma cell line HS683, ATCC HTB 138) were purchased from Clontech Laboratories Inc. Phage were screened under low-stringency hybridization conditions as described above using as probe either a 10-kb *Sal*I fragment containing the full-length S71 genome (Leib-Mösch *et al.*, 1986) or a 370-bp *Bgl*II/*Hind*III fragment (Fig. 4B) comprising the 3' half of the U3 and the 5' half of the R region of S71 HERV-K LTR. Selected clones were plaque purified and inserts were subcloned into pUC vectors.

DNA sequencing and computer analysis. Subcloned cDNAs were sequenced as described (Leib-Mösch *et al.*, 1986) or with the help of a semiautomatic sequencer (ABI 373) by the dideoxy chain termination method (Sanger *et al.*, 1977) using fluorescent sequencing primers and *Taq* DNA polymerase. The nucleotide sequence data are available in the EMBL database under Accession Nos. Z21850 (Os3), Z21851 (P164), Z21852 (P1167), and Z21853 (P178). Sequence alignments were carried out on a Vax computer using the GENALIGN program of IntelliGenetics Inc. and the program package for phylogenetic analysis provided by R. F. Doolittle (Feng and Doolittle, 1987).

RESULTS

Insertion of a HERV-K-Related LTR Sequence within the S71 Genome

The C-type-related human retroviral element S71 is a truncated provirus with a complete *gag* gene, the 3' half of the *pol* gene comprising "tether" sequences, RNase H and integrase, and a 3' LTR-like sequence (Brack-Werner *et al.*, 1989; Werner *et al.*, 1990). The 5' half of the *pol* gene normally containing the protease and reverse transcriptase is replaced by a so far unidentified sequence of 1132 bp that we named SNRS for S71 nonretroviral sequence. A search of GenBank and EMBL Databases now revealed that most of the S71 SNRS region is highly homologous to the LTR of the MMTV-related human endogenous retroviral element HERV-K10 (Ono, 1986). The S71 SNRS sequence shows an overall nucleotide identity of 77% with HERV-K10 LTR. The S71 HERV-K LTR-like sequence is inserted in antisense orientation between S71 *gag* and *pol* (Fig. 1). The sequences flanking the HERV-K LTR located 3' of S71 *gag* and 5' of S71 *pol*-related sequences comprise 46 and 166 bp, respectively, and show weak homology to 5' sequences of C-type retroviral proteases.

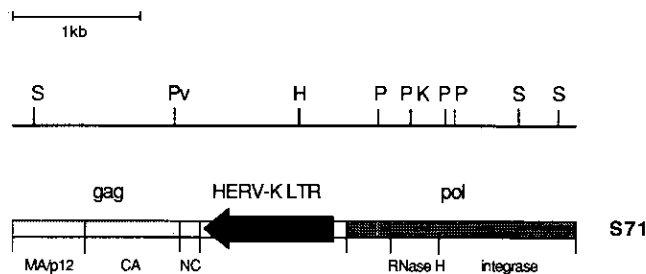


FIG. 1. Structure and restriction map of S71 *gag-pol* region. The 5'-3' orientation of the inserted HERV-K LTR is indicated by the arrow. Abbreviations: MA, matrix protein p15; CA, capsid protein p30; NC, nucleocapsid protein p10; B, *Bam*HI; H, *Hind*III; K, *Kpn*I; P, *Pst*I; Pv, *Pvu*II; S, *Sst*I.

HERV-K LTR Transcripts in Human Tissues and Cell lines

In the course of the isolation of S71-related transcripts, a cDNA library from a 34-week-old human placenta (Milán, 1986) and a cDNA library from the human osteosarcoma cell line MG-63 were screened with a 10-kb probe containing the full-length S71 genome (Leib-Mösch *et al.*, 1986), under low-stringency hybridization conditions. Using various subgenomic probes of S71 for characterization of the isolated cDNA clones, we identified 12 clones that hybridized only with the S71 HERV-K LTR insert. Some of these clones were analyzed further (Fig. 2). Nucleotide sequencing revealed that the isolated clones P164, P178, and P1167 consisted of HERV-K LTR-related sequences and nonretroviral sequences. The latter were located 5' as well as 3' of the LTR sequences. Thus, HERV-K LTRs are expressed in the context of cellular sequences. In two cases (P164 and P1167), HERV-K LTR sequences were found to be associated with *Alu* elements. The remaining nonretroviral sequences showed no significant homology to any sequence in the GenBank Database (release 72) or the EMBL Database (release 31).

Molecular clone P1167 contains a complete HERV-K LTR flanked by cellular sequences at the 5' and 3' termini. Consequently, transcription had neither initiated nor terminated within the LTR. P164 and P178 contain 5' cellular sequences and a complete U3 region, but lack part of the R region and the complete U5 region at the 3' ends of the inserts. These cDNAs probably originate from full-length transcripts that were truncated during the cloning procedure, producing artificial 3' ends. We therefore conclude that the isolated cDNA clones presumably reflect solitary HERV-K LTRs that are not linked to retroviral genes and are transcribed from external cellular transcription units. Os3, the only clone isolated from the human osteosarcoma cDNA library, contains a large deletion of 367 bp that comprises the 3' half of the U3 region and the 5' half of the R region. This deletion is not found within the HERV-K LTR-related sequences of the placenta clones.

We further examined the expression of HERV-K LTRs in human tissues and cell lines by low-stringency

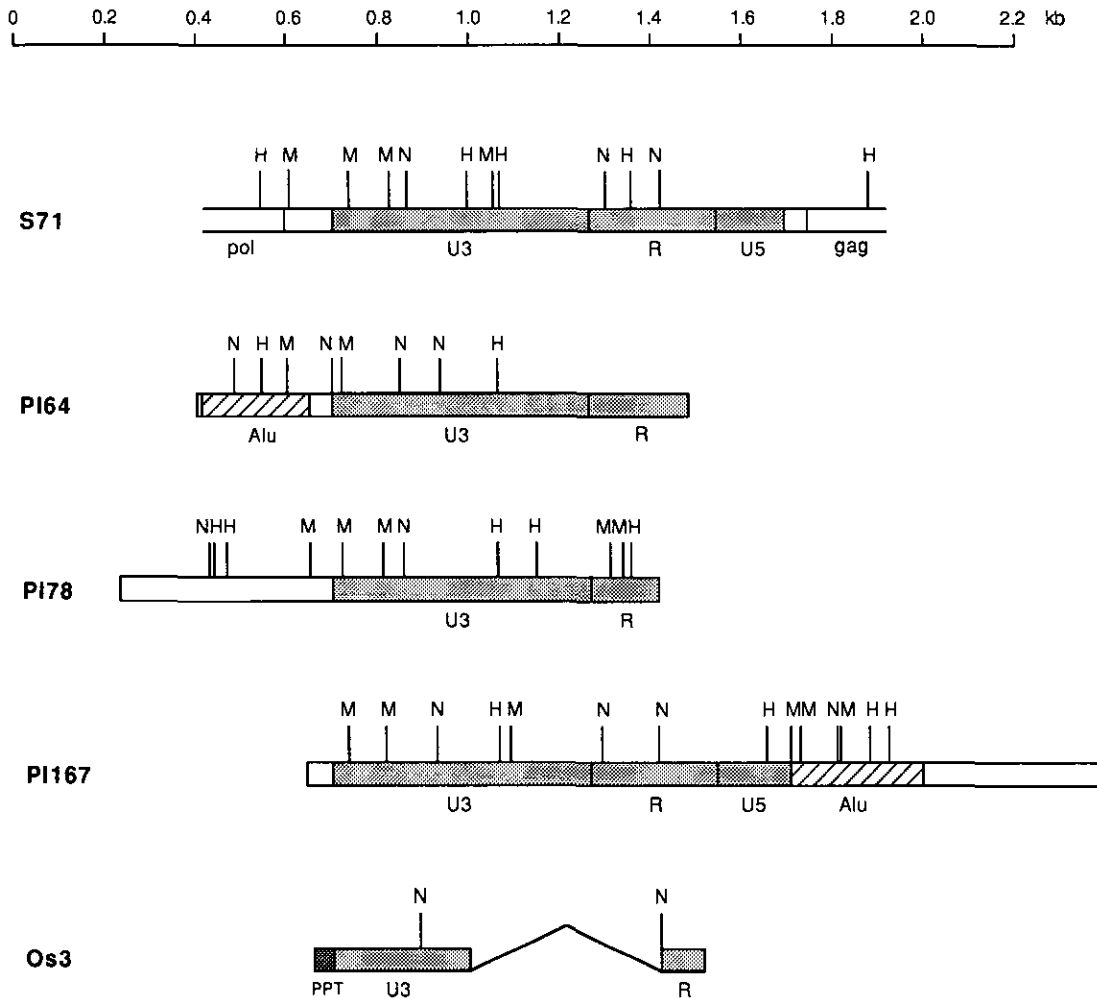


FIG. 2. Structure of the HERV-K LTR-related cDNA clones and comparison with the HERV-K LTR sequence of S71. Stippled regions indicate HERV-K LTR sequences and hatched regions mark *Alu* repeats. Abbreviations: H, *Hae*I; M, *Mae*III; N, *Nsp*I; PPT, polypurine tract.

hybridization of various human cDNA libraries with a HERV-K-specific probe derived from the U3 and R region of S71 HERV-K LTR (Fig. 4B). Since the cDNA libraries used were made from poly(A) RNA, this method allows a semiquantitative estimate of HERV-K LTR transcription by calculating the percentage of hybridizing plaques in proportion to the total amount of plated phages. All human tissues and cell lines examined contain levels of HERV-K LTR-related transcripts ranging from 0.002 to 0.07% of total mRNA (Table 1). The highest levels of expression were found in human placenta and fetal brain, followed by the human osteosarcoma cell line MG-63 and phytohemagglutinin-stimulated T-cells. A 5- to 10-fold lower expression was found in a human glioma cell line and in normal human cortex. These data indicate that HERV-K LTR sequences are abundantly transcribed in human cells.

Nucleotide Sequence Comparison of HERV-K LTR cDNAs

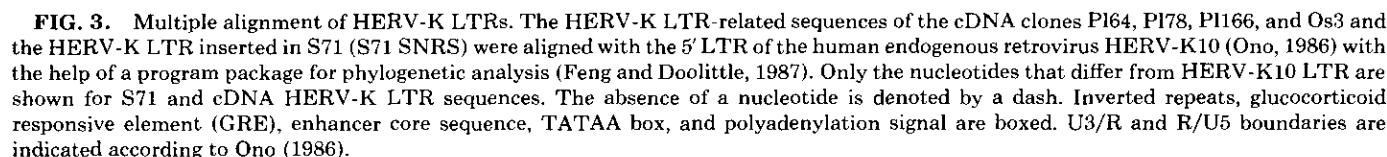
The HERV-K LTR-like sequence identified within the S71 genome and the isolated cDNA clones were

aligned with the prototype HERV-K10 LTR described by Ono (1986) (Fig. 3). The complete HERV-K LTRs of S71 and PI167 were found to be 978 and 968 bp in length, respectively. The S71 HERV-K LTR is thus 10 bp longer than the LTR of clone PI167 and the HERV-K10 LTR. All of the LTRs analyzed show the typical features found in HERV-K10 (Ono, 1986). The inverted repeats characteristic of the ends for HERV-K10 LTR are largely conserved. At position 76, a partial glucocorti-

TABLE 1

Expression of HERV-K-Related RNAs in Human Cells

Tissue/cell line	cDNA clones hybridizing with S71 HERV-K LTR (SNRS) (%)
Placenta (34 weeks)	0.07
Osteosarcoma cells (MG-63)	0.03
Fetal brain (21-week-old fetus)	0.015
PHA-stimulated T-cells (from peripheral blood)	0.01
Glioma cells (HS 683)	0.005
Normal human brain (cortex)	0.002



Integration of proviruses into the cellular genome leads to the duplication of a short sequence of the host DNA at the host-proviral junctions (Varmus and Brown, 1989). We have compared the flanking se-

The human osteosarcoma cDNA clone Os3 differs in several structural features from the solitary HERV-K LTRs isolated from human placenta. Clone Os3 begins with a 37-bp stretch related to sequences that are located upstream from the 3' LTR of HERV-K10 (Fig. 2). These sequences contain a polypurine tract (PPT) that can serve as a primer binding site for plus-strand synthesis during retroviral reverse transcription. Furthermore, clone Os3 does not contain additional cellular sequences. These features as well as the large deletion spanning half of the U3 and R region indicate that Os3 is

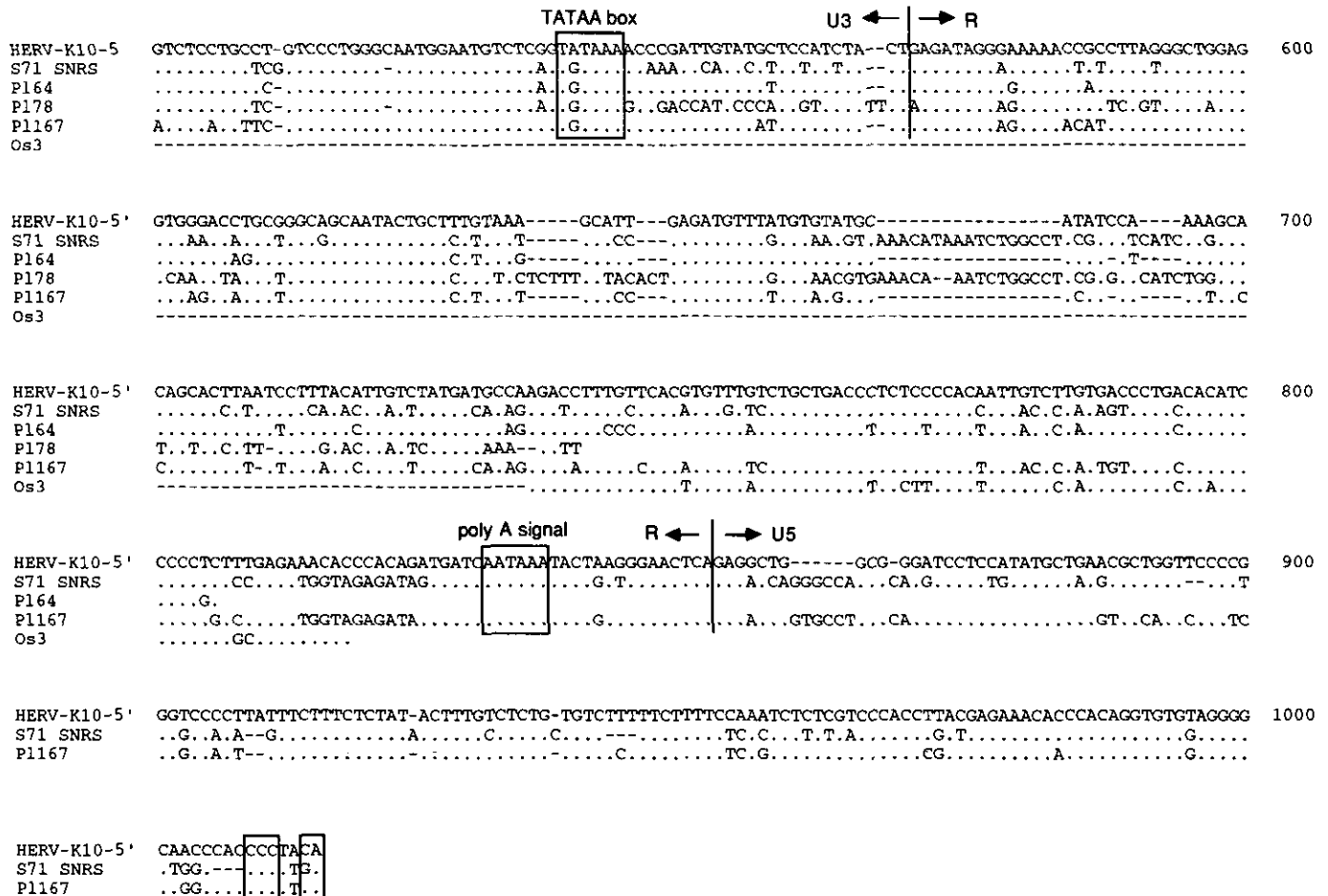


FIG. 3—Continued

probably a member of a family of human retroposons termed SINE-R elements that were derived from a HERV-K proviral sequence (Ono *et al.*, 1987). In contrast to SINE-R elements described by Ono, Os3 contains a second deletion of 20 bp located 17 bp upstream from the large deletion (Fig. 3).

The LTRs of MMTVs contain an open reading frame (ORF) of 957 bp (Kennedy *et al.*, 1982) that encodes a potential transmembrane glycoprotein (Korman *et al.*, 1992). We therefore examined the sequenced HERV-K LTRs for potential open reading frames. Within S71 and P178 LTR, we identified an open reading frame starting with a methionine at position 111. These open reading frames comprise 309 and 306 bp, respectively, and therefore could encode polypeptides of 103 and 102 amino acids in length. However, no significant amino acid homology with the MMTV ORF protein was detected.

Presence of HERV-K LTRs in the Eukaryotic Genome

To obtain information on the origin and age of HERV-K LTRs, we hybridized genomic DNA from different species under relaxed hybridization conditions with a HERV-K LTR probe comprising the 3' half of the U3 and the 5' half of the R region of S71 HERV-K LTR

(Fig. 4). In addition to human DNA, we found HERV-K LTR-related sequences in DNA from rhesus monkeys but not in DNA from nonprimate mammals or avians. We also detected strongly hybridizing bands in DNA from orangutan but not in DNA from marmosets (data not shown). We therefore suggest that HERV-K LTR-like sequences were present in the primate genome at least about 33 million years ago, before the hominoides separated from the Old World monkeys, and probably entered the primate DNA at the earliest about 40 million years ago, after divergence of Old World and New World monkeys.

The high intensity and broad size range of hybridizing fragments indicate a very high copy number of solitary HERV-K LTRs in the primate genome. Because of the high intensity of the bands, it was not possible to estimate the copy number by Southern blot analysis. We therefore determined the number of HERV-K LTRs by screening a human genomic library with the 370-bp *Bgl*II-*Hind*III fragment from S71 HERV-K LTR shown in Fig. 4B. This probe spans the large deletion in clone Os3 and therefore does not hybridize with SINE-R elements isolated by Ono *et al.* (1987). Using low-stringency hybridization conditions that should exclude hybridization of sequences with less than 70% homology (Howley *et al.*, 1979), we detected about 140 hybridizing

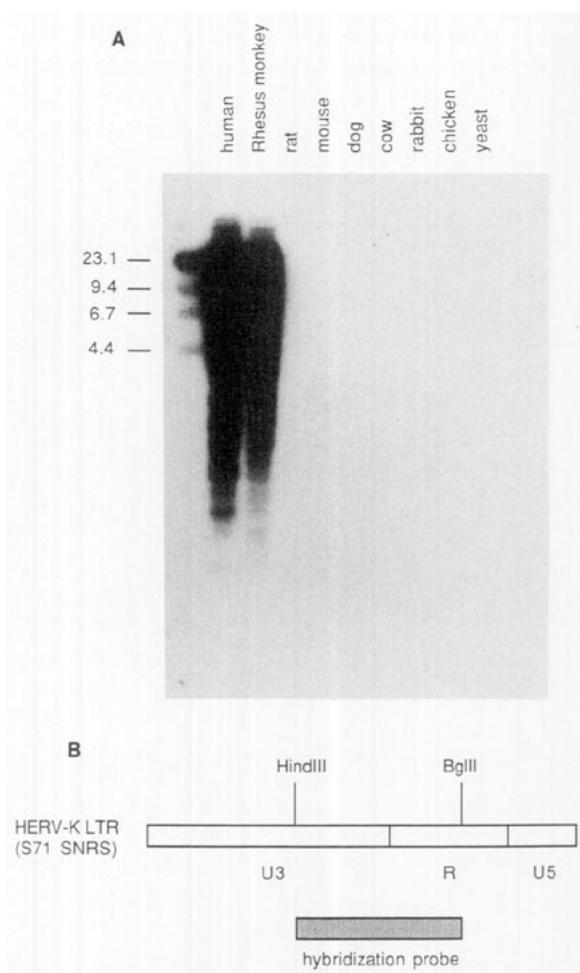


FIG. 4. Detection of HERV-K-related sequences in various species (A) *Hind*III-digested genomic DNA was hybridized with a 370-bp *Hind*III-*Bgl*II fragment from S71 HERV-K LTR (bottom) under low-stringency conditions. Size markers (in kb) are shown for reference. (B) S71 HERV-K LTR-specific hybridization probe.

clones in 10,000 plaques. We therefore estimate that the family of solitary HERV-K LTRs comprises about 25,000 related elements per haploid human genome. In contrast, the number of full-length HERV-K elements was calculated to be only about 50 copies in the human genome (Ono, 1986). HERV-K LTRs therefore represent a moderately repetitive sequence that ranges in copy number between SINE elements like *Alu* repeats (ca. 500,000 copies) and low-copy-number full-length proviral elements.

Chromosomal Dispersion of HERV-K LTRs

To examine the chromosomal distribution of HERV-K LTRs, we performed Southern blot hybridization of a panel of human $\times$ hamster somatic cell hybrids that contain human chromosomes in different combinations (Fig. 5). Genomic DNA prepared from the cell hybrids was digested with *Hind*III and hybridized with the *Bgl*II-*Hind*III fragment from S71 under low-stringency conditions. Most cell hybrids showed a very complex hybridization pattern (lanes 1–5, 7–9) as a consequence of

the high copy number of HERV-K-related LTRs in the human genome. In some cases, however, we detected only a few distinct bands (lanes 6, 10–13), indicating that the chromosomal distribution of HERV-K LTRs is heterogeneous. Lanes 10, 11, and 13 show an identical hybridization pattern. Considering that strongly hybridizing bands may reflect superpositions of several equally sized fragments, we can estimate that chromosome 18 contains no more than about 6–10 copies and the X chromosome probably does not carry HERV-K LTR-re-

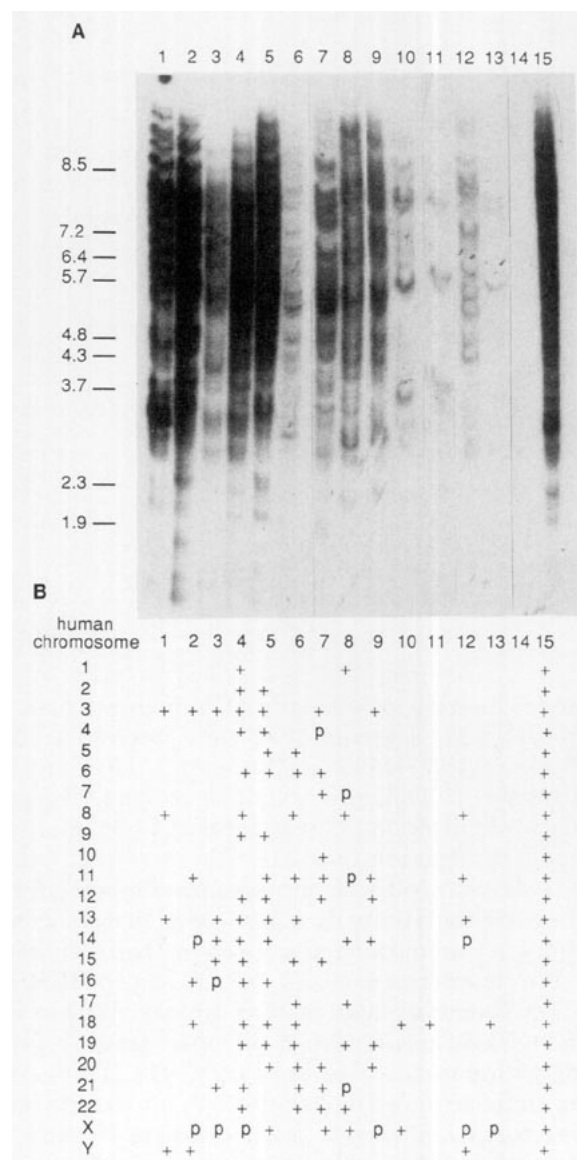


FIG. 5. Southern blot hybridization of a somatic cell hybrid mapping panel. (A) *Hind*III-digested DNA from human $\times$ Chinese hamster hybrid cell lines and controls was hybridized under low-stringency conditions with the S71 HERV-K LTR probe shown in Fig. 4B. Lanes 1–13, human $\times$ Chinese hamster hybrid cell DNAs; lane 14, Chinese hamster DNA (380-6); lane 15, human DNA (LCL 154). (B) Human chromosome content. The hybrids in lanes 1–4 and lanes 10–13 are from experiment XII (Francke *et al.*, 1976), in lane 7 from series XVII (Oliver *et al.*, 1978), in lanes 5 and 9 from experiment XVIII (Francke, 1984), and in lanes 6 and 8 from series XXI (Francke and Francke, 1981). Some of the hybrid cell lines contain only defined portions of human chromosomes (p).

lated sequences. This result confirms previous observations using an S71 probe that contained the 5' part of HERV-K LTR in addition to S71-specific retroviral sequences (Brack-Werner *et al.*, 1989). Very high copy numbers can be assigned to chromosomes 3 (lanes 1–5, 7, 9) and 16 (lanes 2, 4, 5), and relatively low copy numbers probably to chromosomes 8, 11, and 13 (lanes 6, 12).

DISCUSSION

The number of HERV-K proviruses was estimated to be about 50 copies per human haploid genome (Ono, 1986). We have shown in this study that in addition to these full-length retroviral genomes, the human DNA contains several thousand solitary HERV-K-related LTR sequences. These solitary LTRs are widely dispersed in the human genome. The high copy number and dispersion may be explained by three different mechanisms.

One possible mechanism involves two steps. At first, full-length retroviral elements are newly integrated by intracellular retrotransposition events. In the next step, recombination between the homologous 5' and 3' LTRs leads to excision of proviral sequences, leaving only a single LTR. Generation of a single LTR by homologous recombination has been described for an element of the RTVL-H family of HERVs (Mager and Goodchild, 1989). This mechanism has further been postulated to have generated a family of solitary LTRs from a human retrotransposon (Paulson *et al.*, 1985). The fact that solitary HERV-K LTRs show target site duplications of 6 bp that are typical in length for integrated proviruses (Varmus and Brown, 1989) supports this hypothesis.

The chromosomal distribution of HERV-K LTRs, however, is unbalanced and some chromosomes seem to be preferred targets, leading to clustering of hundreds to thousands of HERV-K-related LTRs, whereas others carry only a few copies or completely lack HERV-K-related LTRs. This heterogeneity of chromosomal distribution may be explained by a mechanism of propagation that involves amplification of sequences at the DNA level. DNA amplification also offers an alternative explanation for the high ratio of solitary LTRs to full-length HERV-K proviruses. A mechanism of this kind has been shown for the 4-1 family of HERVs. Retroviral elements of this family were found to be amplified together with associated flanking cellular sequences (Steele *et al.*, 1986). Possibly, different mechanisms have contributed independently to the amplification and dispersion of HERV-K-related LTRs within the primate genome.

A third mechanism seems to be responsible for dispersion of HERV-K LTR-derived SINE-R elements (Ono *et al.*, 1987). These elements show the features typical of SINE nonretroviral retrotransposons: a poly(A) tail at the 3' end and target site duplications varying between 4 and 14 bp. Although SINE elements do not encode enzymes for reverse transcription and integration like retroviruses, they are also transposed by RNA intermediates (Deininger, 1989). In clone Os3, the presumptive 5' and

3' ends of the transcript are missing, probably as a consequence of the cloning procedure. Nevertheless, the remaining sequences of Os3 show a strong structural relationship with SINE-R elements that consists of HERV-K-related 5' sequences and a large deletion in the U3/R region, indicating that Os3 is a transcription product of a member of this family. Furthermore, solitary retroviral LTRs may be transposed as isolated units by an as yet unknown mechanism, generating the 6-bp target site duplications found in S71 HERV-K LTR and in P1167.

The high copy number of HERV-K-related elements in the genome of humans and monkeys demonstrates that these sequences have been mobile within the primate genome over a long period of time and may still be actively transposed. This is also indicated by the high transcription rate of HERV-K elements in various tissues. Various HERV-K *pol*-related sequences have been amplified by PCR from normal human lymphocytes (Medstrand *et al.*, 1992). We have demonstrated that HERV-K LTR-related sequences are abundantly expressed in every human tissue that we have examined so far. Independent of their copy numbers ranging from 1 to several thousands, all human HERVs analyzed to date have been shown to persist in the primate genome for about 30 to 40 million years (Mariani-Constantini, 1989; Shih *et al.*, 1991; La Mantia *et al.*, 1991). Therefore, the differences in copy number of human endogenous retroviral elements may reflect their transposition activity. The relatively high copy number of HERV-K-related retroviral elements including solitary LTRs (25,000) suggests that these elements may constitute an active family of retroelements, comparable to THE retrotransposons (Sun *et al.*, 1984; Paulson *et al.*, 1985) and nonviral LINE retrotransposons (Hutchison *et al.*, 1989), which encompass about 40,000 copies (including solitary LTRs) and 100,000 copies per haploid human genome, respectively. The transposition activity of LINE elements in the human genome has recently been demonstrated in several cases. Two *de novo* insertions of LINE-1 sequences into the factor VIII gene were found to cause hemophilia A (Kazazian *et al.*, 1988; Dombroski *et al.*, 1991). A LINE-1 element was further shown to have inserted in the *myc* locus of a human breast carcinoma (Morse *et al.*, 1988). In a colon cancer, a LINE-1 insertion disrupts a putative tumor suppressor gene (Miki *et al.*, 1992). Furthermore, insertion of two solitary HERV-K LTRs within the human major histocompatibility complex locus *HLA-DQ* has been detected in several but not all *DQ* haplotypes (Kambhu *et al.*, 1990).

With the exception of clone Os3, the isolated cDNAs were found to contain nonretroviral cellular sequences 5' and/or 3' of the HERV-K LTR sequences. Coexpression of full-length proviruses as well as solitary LTRs with cellular sequences either by read-through transcription of adjacent sequences or by splicing into cellular genes has been observed for the human retroviral element ERV3 (Kato *et al.*, 1987) and several members of the RTVL-H family of HERVs (Wilkinson *et al.*, 1990; Liu and Abraham, 1991). In some cases, regulatory functions

were attributed to the retroviral LTRs (Kato *et al.*, 1990; Feuchter and Mager, 1990; Feuchter *et al.*, 1992). In the case of HERV-K-related cDNAs, expression is not directed by the viral promoter located within the U3 region of the HERV-K LTR. We assume that HERV-K LTRs are transcribed by virtue of their insertion into other transcription units. Possibly, some of them are expressed as intron transcripts of precursor RNAs. In clones Pl64 and Pl167, HERV-K LTRs are associated with *Alu* elements, which are often known to be contained in introns or 3' noncoding regions (Deininger, 1989). Transcripts of the human THE retrotransposon initiated from external promoters have also been observed in human tissue and cell lines (Paulsen *et al.*, 1987).

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